Lemuel Moye, M.D., Ph.D.
November 10, 2020


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| :---: | :---: | :---: | :---: |
| 1 | STIPULATION | 1 | LEMUEL MOYE, M.D., PH.D., |
| 2 |  | 2 | 5671 South Wayne Drive, Chandler, Arizona 85249, |
| 3 | IT IS STIPULATED AND AGREED by and among | 3 | having been first duly sworn, was examined and |
|  | the parties that this deposition is hereby being | 4 | testified as follows: |
| 5 | taken for discovery purposes and for any and all | 5 | EXAMINATION |
| 6 | purposes authorized under the Louisiana Code of | 6 | BY MR. MILLER: |
| 7 | Civil Procedure. | 7 | Q. Good afternoon, Dr. -- is it Moye or |
| 8 | All formalities, including the reading | 8 | 'Moye"? |
| 9 | and signing of the transcript by the witness, are | 9 | A. Moye. Thank you. |
| 10 | hereby waived. | 10 | Q. That's okay. Thank you. Good afternoon. |
| 11 | Except as provided in Article 1455, | 11 | Nice to see you. |
| 12 | objections are considered reserved until trial or | 12 | A. Good to see you, sir. |
| ${ }^{13}$ | other use of the deposition. | 13 | Q. I know you have given a deposition before, |
| ${ }^{14}$ |  | 14 | right, Doctor? |
| 15 |  | 15 | A. Yes, sir. |
| 16 |  | 16 | Q. Okay. So I'm going to try to be quick on |
| 17 |  | 17 | all the preliminaries, but I 'm going to ask you a |
| 18 |  | 18 | series of questions. You're going to give me |
| 19 |  | 19 | answers. The court reporter is taking everything |
| ${ }^{20}$ |  | 20 | down. We ask that those answers be verbally so that |
| 21 |  | 21 | she can accurately take down your testimony. Is |
| 22 |  | 22 | that fair? |
| 23 |  | 23 | A. Yes, it is. |
| 24 |  | 24 | Q. Okay. Oftentimes, I think my questions are |
| 25 |  | 25 | articulate and well-phrased, and they are not. If |
|  | Page 6 |  | Page 8 |
| 1 | THE REPORTER: Good afternoon. My | 1 | in fact you do not understand what I'm asking, |
| 2 | name is Yolanda Pena. I am a nationally | 2 | please ask me to rephrase it, and I'll be happy to |
| 3 | certified court reporter with Baton Rouge | 3 | do so within the best of my abilities. |
| 4 | Court Reporters. | 4 | However, if you answer, I'm going to assume |
| 5 | At this time, I will ask counsel to | 5 | that you understood what I was asking. Is that |
| 6 | identify yourselves and whom you represent | 6 | fair? |
| 7 | and agree on the record that there is no | 7 | A. It is. |
| 8 | objection to this court reporter | 8 | Q. Okay. If we ever need to take a break, |
| 9 | administering a binding oath to the witness | 9 | please allow me -- I imagine we might go a little |
| 10 | via remote videoconference. | 10 | while because your report is so extensive. We can |
| 11 | We'll start with the noticing | 11 | take a break at any time. I only ask that if we do |
| 12 | attorney. | 12 | take a break and there is a question pending, we |
| 13 | MR. MILLER: Allen Miller and | 13 | answer that question prior to the break. |
| 14 | Kevin Welsh on behalf of the defendants. | 14 | A. I understand. |
| 15 | And we have no objection. | 15 | Q. Okay. Why don't you give me your full name |
| 16 | MR. ALVENDIA: Rico Alvendia, | 16 | and address, please. |
| 17 | Dan Davillier, Jennifer Kuechmann, | 17 | A. May I ask a question first, just a |
| 18 | Jennifer Perez, Matt Sherman on behalf | 18 | technical question? |
| 19 | of the plaintiff. And we have no | 19 | Q. Yes, sir. |
| 20 | objection. | 20 | A. My screen -- my screen has been reversed so |
| 21 | THE REPORTER: And, Dr. Moye, I'll | 21 | that the zoom component is over on the right, and |
| 22 | swear you in now. | 22 | I'm seeing a -- looks like a screenshot of a |
| 23 | /// | 23 | computer screen that is Phelps Dunbar LLP. |
| 24 | I/I | ${ }^{24}$ | Q. Yes, sir. And we can change that right |
| 25 | I/I | 25 | now. The -- throughout the course of the |


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| :---: | :---: | :---: |
| deposition, that may happen because we'll be sharing |  | Q. And obtaining that -- the Ph.D. was your |
| a screen with you to show you documents. We're not | 2 | last -- and I know there are multiple educational |
| at that point right now, so I'm going to ask that |  | components to your career. But that was your last |
| Kevin remove that screen so you can then see all of |  | formal schooling? |
| the other participants. Okay. |  | A. Yes, sir. |
| A. And I think -- | 6 | Q. Okay. What was the master's degree? What |
| Q. Is that better? | 7 | discipline was that in? |
| A. There was a question pending. | 8 | A. Mathematical statistics. |
| Q. Sorry about that. Okay. | 9 | Q. Tell me what exactly is mathematical |
| 10 The question was for you to state your name | 10 | statistics. |
| 11 and address for the record. | 11 | A. Sure. It's mathematical science of the |
| 12 A. Sure. First name is Lemuel, L-e-m-u-e-l, | 12 | theory underlying the analysis of data, so that |
| 13 last name M-o-y-e. | 13 | entails an understanding of probability, I would say |
| 14 Q. Now, Doctor, one more preliminary question. | 14 | completely, but nobody understands probability |
| 15 Are you on any prescription medication today? | 15 | completely. So an understanding of probability and |
| 16 A. No, sir. | 16 | an understanding of statistical inference. |
| ${ }^{17}$ Q. So there's nothing that would prevent you | 17 | Q. All right. Now, so unfortunately for you, |
| ${ }^{18}$ from answering my questions truthfully? | 18 | Doctor, I was an English major, so... |
| 19 A. No, sir. | 19 | A. I appreciate -- I appreciate good diction |
| ${ }^{20}$ Q. Okay, great. Why don't you, just | 20 | and articulation. |
| ${ }^{21}$ generally -- and we're going to get to your CV and | 21 | Q. So we're going to spend quite a bit of time |
| 22 kind of walk through that in detail, Doctor. But | 22 | having you educate me on some of these technical |
| ${ }^{23}$ why don't you give me your educational background, | 23 | terms, and I apologize in advance for that today. |
| 24 please. | 24 | A. Of course. |
| A. Sure. Where would you like to start? | 25 | Q. I think I understand probability. But tell |
| Page 10 |  | Page 12 |
| Q. Your -- well, start with your undergrad and | 1 | me statistical inference, what exactly that is. |
| work through your professional degrees. | 2 | A. Of course. So statistical inference is the |
| A. Sure. So very briefly, I graduated with a | 3 | process by which one can collect data from a sample |
| BA in mathematical sciences from the Johns Hopkins | 4 | and attempt to attribute its findings to a larger |
| University in 1974. Graduated from Indiana | 5 | population from which the sample was obtained. |
| University School of Medicine in 1978. Then | 6 | Q. And I've gone through your CV. So the |
| internship in Methodist Hospital in 1978 to '79. | 7 | import of that is seen heavily throughout all of |
| Q. What was your internship in in '78 and '79? | 8 | your clinical trials at work? |
| A. It's a rotating intern. Actually, the | 9 | A. Yes, sir. |
| ${ }_{10}$ technical term was flexible internship. It's a | 10 | Q. Okay. And all of us, I think, are learning |
| ${ }_{11}$ rotating internship. | 11 | about clinical trials, particularly with respect to |
| ${ }^{12}$ Q. Which means that you participated in | 12 | Pfizer's announcement on yesterday. |
| ${ }^{13} \quad$ various disciplines in medicine? | 13 | So when I say "clinical trials," that's the |
| 14 A. Essentially, all of the major disciplines | 14 | practical application of the statistical inference |
| 15 in medicine. So general medicine, surgery, | 15 | where you take a subset of individuals, you test |
| 16 endocrinology, cardiology, emergency room of course, | 16 | them so that you can then extrapolate that to the |
| 17 among others. | 17 | greater population? |
| ${ }^{18}$ Q. Okay. | 18 | A. Well, it's -- epidemiologist distinction. |
| 19 A. Then I went to Purdue University. Got a | 19 | I would describe it as the following: A clinical |
| 20 master's degree at Purdue University, and I got the | 20 | trial is a research environment where -- |
| master's degree I think in 1981. Not really sure | 21 | Q. I'm sorry. |
| 22 about that but approximately 1981. And then went to | 22 | A. All of the Bradford Hill causality tenets |
| 23 University of Texas, where I attained a Ph.D. in | ${ }^{23}$ | are incorporated in the design of the study with the |
| ${ }^{24}$ what was called then biometry. But it's really | 24 | exception of strength of association. Strength of |
| 25 biostatistics, and that was in 1987. | 25 | association is obtained from the trials data. And |

the combination of all of those tenets leads to a conclusion as to whether the relationship between the exposure or the treatment and the disease is causal or not.
Q. And that is what you would describe as a clinical trial?
A. Yes, sir.
Q. Okay. And the Bradford Hill causality, what -- tell me what that is.
A. Sure. It's a collection of guidelines that were established by Sir Austin Bradford Hill. I think they were published in 1965. They essentially describe the thought process a reasonable epidemiologist goes through in determining whether an exposure is -- causes a disease or whether an exposure is merely associated with the disease.
Q. Okay. Let's pull up your curriculum vitae.

MR. MILLER: We're going to mark that as Exhibit 1.
(Exhibit No. 1 was identified.)
MR. MILLER: Kevin? There we go. BY MR. MILLER:
Q. I'm going to walk you through, Doctor, some of the -- your profession experience, certifications, and licenses. And where I have

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questions, I'll just -- I'll pose those questions at that time. Is that okay?
A. Yes, sir.
Q. Okay. Let's look at your professional experience. And the first thing says you're a professor of biostatistics with tenure at the University of Texas. Now, you made a distinction earlier when you said you had a biometry, but it's really called -- it's biostatistics now?
A. Yes. The language of biostatistics, for better or for worse, evolves. So when I started the school, its program in biostatistics as well as the other programs in the nation were not called biostatistics. They were called biometry.

## Q. Biometry.

A. And without the -- without to -- diverge too much, biometry and biometrics turned into the use of body measurements to indicate something about the person. So the rate of which -- the rate at which irises dilate or -- or constrict, fingerprints, that all became -- lip movements, that all became the science of biometry. And so our field took the name biostatistics.
Q. All right. So tell me exactly what is biostatistics.
A. Sure. So we talked about what mathematical statistics was, and I won't repeat that.
Biostatistics is the application of mathematical statistics to health science research.
Q. And when you say "health science research," what areas does that include?
A. Actually, that's very -- that's a very broad swath. So health science research includes research in any of the medical fields. So as example, research in cardiology, research in pulmonology, research in orthopedics. So all of the clinical fields, and in addition it includes research in nursing. It includes research in the economics of healthcare delivery. It includes research in quality of life. Any area that involves healthcare delivery is also considered part of healthcare research. So it's very broad.
Q. Okay. What particular areas have you specialized in within the application of biostatistics?
A. Well, I have -- to be fair, to answer your question quickly, most of my research has been in cardiology. However, I have worked in other fields as well, including pediatrics, including alternative medicine, as they are distributed through my

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publications.
Q. Okay. The next entry under your professional experience is that you were director of -- director in coordinating -- director at the Coordinating Center For Clinical Trials at the University of Texas?
A. That's correct.
Q. Okay. What exactly was that position?
A. So the coordinating -- can I tell you first what the Coordinating Center was?
Q. Yes, sir.
A. Okay. Sure. So the Coordinating Center was a facility that included faculty members from several different disciplines or departments in public health. It included biostatisticians, epidemiologists, sometimes healthcare specialists, and sometimes, depending on the trial, diabetologist or hypertension specialist or a specialist in a retinal disease or prematurity. We all work together to design, execute, and -- and analyze clinical trial results.
Q. Okay. And as -- you were the director for -- from 2009 to 2010?
A. Just a year, yes.
Q. Okay. Did you participate in this group
beyond being the director?
A. Sure. Thank you. So I was a member of the Coordinating Center for Clinical Trials the entire duration of my faculty life at the school of public health. It's just this one year I was asked to step in as director.
Q. Okay. The next entry is secondary appointment of epidemiology -- secondary appointment in epidemiology.
A. Yes, sir.
Q. What exactly does that mean?
A. Well, okay. Let me answer that in a negative -- negative, if I may, first. It does not mean I was a member of the department of epidemiology. I want to be clear about that. I was a member of the department of biostatistics. I have never been and have never said I was a member of department of epidemiology.

Having said that, I have been working closely with epidemiologists since I started in -at the school as a faculty member in 1987, and I was not the only one. In fact, faculty members were encouraged to work with other faculty members in different disciplines then than departments.
Q. Okay. So let me go back to the prior entry

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in your CV. As director of Coordinating Center For Clinical Trials, I imagine there were epidemiologists in the Coordinating Center For Clinical Trials?
A. Yes, sir.
Q. Okay.
A. Yes, sir, just as I served with epidemiologists in their primary work as a biostatistician and a fellow faculty member. There was a lot of cross-pollination.
Q. But you are not an epidemiologist?
A. No, I wouldn't say that. I would say I am an epidemiologist. I simply am saying to you I was not a member of the epidemiology department, but I am a practicing epidemiologist. I am an applied epidemiologist.
Q. Is there a certification for that?
A. Is there a certification for applied -- no, I don't believe there is.
Q. Okay. Are there any specific requirements that the Texas board would require you to have to identify as an epidemiologist?
A. I understand your question. There's no

Texas board that certifies biostatisticians or certifies epidemiologists. There are simply --
there are simply degree programs.
Q. Do you have a degree in epidemiology?
A. No, sir.
Q. Okay. There is such a degree as epidemiology, correct?
A. To be clear, there are masters' degrees and Ph.D.s in epidemiology, but I have enough degrees. I don't need another academic degree.
Q. I won't disagree with you on the number of degrees you have. You do have enough. While you were actively working at the University of Texas, did the -- were there any members of the epidemiologist department that considered you to be an epidemiologist?
A. Oh, for sure, yes.
Q. Okay. Who were they?
A. I would say Milt Nickerman, Rick Shekelle. These were senior members of epidemiology there. Darwin Labarthe, also a senior member of epidemiology there. Unfortunately, Rick just died, I think, three or four months ago.
Q. Okay.
A. We worked on -- I'm sorry. I'll stop there.
Q. Yeah, I'll get to -- we'll come back to the

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## work that you did while there.

The next entry -- so again, let me go back to secondary appointment in epidemiology. What does the distinction "secondary appointment" mean?
A. It means that I'm affiliated with and do work in the -- with epidemiologists. However, I am not involved in the administration and the -- well, I'm not involved in the administration of the department. So for example, things I did not do: I did not attend meetings where they talked about what their curriculum was going to be. I did not attend meetings where they talked about when they were going to give their qualifying examination. Those were important matters. Those were administrative matters.

What I was involved in was advising students in epidemiology, helping to teach classes in epidemiology, working with epidemiology students who took my classes, including epidemiologists on my grants and work and being part of the grant work of other epidemiologists. And of course we publish together in epidemiology journals.
Q. Okay. If I went to the University of Texas's description of its faculty members, would they describe you as having a secondary appointment

## in epidemiology?

A. I -- I have not looked. I would be
surprised if they did because the school has become quite siloed. And at this point, you are part of one department or another. But that is a -- an intellectual movement that flows one direction or the other. Sometimes they move to be more siloed. Sometimes they move to incorporate collaboration. So at this point, I'm going to say I don't know what they would say.
Q. Okay. Well, then let me ask this: What encouraged you to -- so this term "secondary appointment," was that something you created on your own?
A. No. No, it was not. It was encouraged that we all -- this is now in the 1980s. It was encouraged that we all be part of other departments and talk about it. And -- and since I took my work in epidemiology seriously, it was appropriate for me to put that on my CV.
Q. Again, now, it was your decision to put the secondary appointment epidemiology on your CV?
A. Sure. If you want to say "epi," I
understand what you mean, rather than going through "epidemiology."

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But yes, it was. But a couple of things. First, it's my decision to put anything on my CV, and many faculty members would put this as well if in fact they were involved with another department.
Q. Okay. I'm going down further, and I'm trying not to rehash.

If, for example, you were an associate professor in one of the areas in which we've already discussed, I'm going to skip over those things.
A. Yes, sir.
Q. Okay. It says that you were consulting clinical biostatician at Baylor. Is that any different from what you were doing at University of Texas?
A. Of a kind, yes. And I'll try and keep this short. University of Texas -- Baylor, University of Texas, Methodist Hospital, they were all a part of the Texas Medical Center. Just as I was encouraged to collaborate with other departments within my school, such as epidemiology, I was also to encourage -- encouraged to work with other institutions in the medical center. And one of them I worked with was Baylor.
Q. Are you current --
A. All right. I'm sorry. Start, please.
Q. It says 1986 to the present. So are you still consulting with Baylor?
A. All right. That's my fault. I should have put retired there as well. So that -- that really ended in 2019.
Q. Okay. And what -- what's the distinction there, consulting clinical biostatician as it pairs to what -- compared to what you were doing at the University of Texas?
A. Sure. So at the University of Texas I worked with senior epidemiologists, senior diabetologists, hypertension experts, both locally and nationally, to design, execute, and analyze large clinical trials. There is a senior level of expertise in that work, and I was pleased to be part of it. The consulting work with -- with -- where are we? With...
Q. Baylor.
A. Baylor. Thank you. Baylor. So the work with Baylor was local, and it was of a lower caliber in that the investigators I worked with had no real experience with epidemiology and no real experience with biostatistics. They simply knew that they had collected data, and they were interested in having that data appear as an abstract or appear in a

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publication and didn't really know how to organize and analyze the data to move forward to a result that might be publishable.

## Q. Understood.

A. I do need to clarify something, if I may. I realize you may want to ask questions about it.

I do not have -- as I have told you, I do not have a formal degree in epidemiology, but I have substantial training in epidemiology. In medical school we get considerable training in epidemiology, to the point where many physicians think they know epidemiology. I'm not there yet, but you learn -we learned -- my first exposure to epidemiology was in medical school, and it was through the second, third, and fourth years of medical school.

In addition, I took courses as a student at the School of Public Health in epidemiology as I took courses in biostatistics. So I have a good deal of formal training in epidemiology. It -- it's important to have experience, but without formal training experience, can sometimes be making the same mistake repeatedly.
Q. Other than taking courses -- what year did you attend medical school?
A. 1974 to 1978.

## Page

Q. Okay. Other than courses in 1974 to '78 and then the work during your, I guess, Ph.D. in the School of Public Health?
A. Yes, sir. As a student, yes.
Q. Other than those two instances as a student, do you have any formal training in epidemiology?
A. Beyond the -- attending seminars as faculty member, no.
Q. Okay.
A. I have a lot of experience working with epidemiologists but no additional formal training.
Q. Okay. Let me see. It says also that you were the owner of MediClinic Physicians' Association?
A. Yes, sir.
Q. What is that?
A. That was a collection of freestanding clinics in Houston that I joined as a physician. I was a licensed physician in Houston, and I joined and worked with them, and then was asked to be the owner of the physicians' association, which is the collection of physicians who were involved in seeing patients across -- I think it was seven clinics.
Q. Now, those clinics, were they primary care

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## clinics?

A. Yes, sir.
Q. Did any of the, say, seven -- estimated seven clinics have any particular type of specialty that they rendered?
A. No. Each of the clinics was open to the public for any -- any healthcare situation or problem patients in the community, subjects in the community might have.

Now, it didn't stop some of our physicians from having some special expertise that they would share. For example, I had a lot of experience in orthopedics. And so when it came to relatively simple things, like bad sprains and simple fractures, I had no problem diagnosing and treating and following patients with these injuries. And I let the other clinics know so that they could have their patient -- if they were not so comfortable seeing those patients, they could come to see me.

So we did have this expertise sharing, but there was no -- there was no -- there was no policy that excluded one type of patient from a clinic in preference to another.
Q. In your -- lots of the information that we've talked about thus far is contained in the

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Q. At -- this was at the steel mills?
A. Yes, sir.
Q. When you say you worked at -- were you a physician on site at the mill, or --
A. Yes.
Q. -- did you work in a hospital?
A. I took 16 -hour shifts onsite at the Gary steel mills.
Q. So other than when you initially graduated from medical school and became licensed in Indiana and then the job at the steel mills, when was the next job where you were actually seeing patients?
A. Oh, then things really took off. Then the urgent care concept became accepted, and I began to work at urgent care clinics, first in Indiana and then in Houston, when we were talking about the MediClinic Physicians' Association.

There I commonly worked 30 to 40 hours a week as a student -- 30 to 40 hours a week while I was a student in the Ph.D. program, also seeing patients.
Q. When did your active medical practice seeing patients end?
A. For all intents and purposes, it ended in 1992. There was the Katrina event that I took --
took part in. That would have been, I think, 13 years later. But the practice of medicine for me essentially ended 1992.
Q. And why was that?
A. Well, it was because up to that point in my career, I had my cake and could eat it too. I was doing research and also was seeing patients, but it got to the point where I could not continue to do both satisfactorily. So I had to choose, and I chose to go into research.
Q. Okay. In 1992 you were already employed with the University of Texas?
A. Yes. At that point in 1992, I was a faculty member.
Q. Okay. All right. I'm going to skip down to page 2 and as -- as decorated as your honors are and impressive as they are, I don't think that I need to go through them, so I'll skip that section.
A. Okay.
Q. Let me ask you about the Journal of Clinical Epidemiology. Well, let's back up. Before we get there, you have a section on your CV that's entitled 'Journal Reviewer."
A. Yes, sir.
Q. So tell me exactly what that means.

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A. Of course. Of course. One of the linchpins of medical research is the review process. So a manuscript is submitted to a journal. As many manuscripts are submitted to journals, journals can't publish them all, so journals have to make a determination as to which ones are worthy of publication. So they rely on a collection of authors who publish in their journals, who have already published in their journals, to get their assessment and impression of the article. So that's called peer review.

We are -- as authors and as fellow researchers, we're peers. We review the manuscripts and give the editor of the journal an assessment of whether this manuscript is worthy for consideration for publication.
Q. Okay. And so when you describe yourself as a journal reviewer and then you list these various -- these various journals underneath?
A. Yes, sir.
Q. Okay. And so you have acted as -- well, let me back up.

You have submitted articles then to all of the journals that are listed under your journal reviewer title?
A. I think that's fair. As I sit here, I'm not sure which article I submitted to PharmacoEconomics, but I -- but I was asked to be a journal reviewer for that one.
Q. Okay. To become a journal reviewer you have to be invited?
A. Yes, sir.
Q. Okay.
A. Well, at that time you did. At this point, the need for reviewers is so great, perhaps the invitation isn't necessary anymore. But when I was active -- when I was active, you had to be invited.
Q. Now, are you currently a journal reviewer for the list of journals that are on your CV?
A. Now that I've retired, I have put journal reviews behind me. So the -- the question -- the answer to your question is no.
Q. When did you stop becoming a journal reviewer?
A. When I retired.
Q. So in 2019?
A. Yes, sir.
Q. Okay. The Journal of Clinical

Epidemiology, which is the first entry there, did

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you -- did you have occasion to publish a work in the Journal of Clinical Epidemiology?
A. Well, I certainly had occasion to review it. I'd have to look at my CV to see if I actually published in it. I don't think it will take very long, but I can check.
Q. We'll get to that. I guess, in order to kind of short-circuit it, initially when I asked for the definition of a journal reviewer, I think you said the journals would ask a collection of individuals that submitted articles or journals to them to review others.
A. Yes, sir.
Q. And I just want to ensure that I have the right definition. I don't want to assume that you published in each of these journals.
A. Right. So my definition may be too narrow. While they may or they are -- of course they know the work of the people who submitted to their journal because somebody reviewed it. But I would think that if somebody had articles published in the Journal of the American Medical Association in epidemiology, they might also be asked to be a reviewer for the Journal of Clinical Epidemiology. It's a relatively small universe of editors and
reviewers.
Q. Okay. Is it fair to say that the journals that you've listed are generally accepted as professional and reliable sources of information in the fields in which they publish?
A. I would describe them as essentially reliable and high quality. Now, there are -- there are always exceptions. The good -- a good journal of medicine will make a mistake and publish a bad article sometimes, but by and large they are reliable and high quality.
Q. Okay. The second entry underneath 'Journal Reviewer" is Journal of the American Statistical Association, Biometrics. Is that -- and then there's Biometrics behind it. Is that one journal? Or is Biometrics a journal in -- in and of itself?
A. They are two separate journals.
Q. Okay. So there's a journal entitled simply Biometrics?
A. Right.
Q. And there is a journal entitled Controlled Clinical Trials?
A. Yes, sir. It's a political complexity that now has turned into two different journals. One is contemporary clinical trials. I'm not sure I follow

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the politics of it, but when I was reviewing, it was called Controlled Clinical Trials.
Q. Okay. Then there on the other side, The American Journal of Epidemiology, did you publish in that journal?
A. I'd have to look and see.
Q. Okay. Do you know how long you acted as a reviewer, or how often did you review things for the American Journal --
A. I'm sorry. I didn't mean to step on your question. Go ahead, please.
Q. How often you reviewed on behalf of the American Journal of Epidemiology?
A. I can't tell you how often I reviewed for any of these, but I can tell you that it seemed like every two or three weeks I was getting the opportunity to review for one or the other.
Q. Okay. And would that be the same for the New England Journal of Medicine?
A. Yes, sir.
Q. The American Family Physician?
A. I don't know so much about that.
Q. Okay. What about -- was it -- how do you pronounce that? Lancet?
A. Lancet, yes.
Q. (Indiscernible.)
A. I'm sorry. Again, I stepped on your question. Go ahead.
Q. (Indiscernible.)
A. I would get an occasional request to review for Lancet.
Q. And what -- some of these are self-explanatory. But what's the subject matter of Lancet?
A. Lancet is the European version of the American -- of the Journal of the American Medical Association. It is their premier general medicine journal.
Q. Okay. The last one?
A. Atherosclerosis?
Q. Yes, sir. Was it that?
A. That's a specialty journal in cardiology. Cardiology is a broad field. Atherosclerosis is a pathophysiological process, which has of course garnered a great deal of an attention over the decades, and they have a journal associated with that.
Q. Okay. Now, the next entry is a book. You're -- you're a book reviewer just for that -- is that one book, Springer?

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A. Unless you scroll down and I'm missing -- I can't scroll with you, so I have to rely on you.
Q. If we scroll to the next page, we get to the current -- current funded research.
A. Okay. So I -- I was just asked to review -- book review for Springer.
Q. And who is Springer? What do they publish?
A. Oh, Springer is a publisher that focuses on -- well, actually, Springer evolved -- has expanded over the years. So it was primarily mathematics, became statistics and probability, biostatistics, and I presume they continued to expand.
Q. Okay. Now, there were no dates on any of your journal reviewers, and nor is there a date on this Springer. Is there a reason why you didn't identify specific dates in articles that you actually reviewed?
A. Well, there's two reasons. Number one, it's not the custom in my area to actually put that kind of detail in; and number two, I didn't have the time to $\log$ that. And if I would have -- yeah, I just didn't have the time to do it. So nobody ever asked me to do it, so I'd rather put my time someplace else.

Page
Q. When was the last time you updated your CV?
A. This is dated October, I think, 2020. I think it is.
Q. The next section is "Current Funded Research," and as I reviewed it, most of it -- well, there are two areas in the field of cardiovascular and then one in stroke. Would you -- so did you do any funded research in the field of epidemiology?
A. Yes. I would describe all of this -cardiovascular stem cell network, the acute stroke program for sure, Dr. McCormick's program for the Hispanic health research, it's clinical and translational science award, all of that is epidemiology.
Q. (Indiscernible.)
A. Go ahead, please. Go ahead. I'm sorry.
Q. I interrupted you. Go ahead.
A. Okay. And I would say that this is really just the most recent. Bio-funded research goes back to 1987 when I was funded on hypertension programs and the development of statins, and that was all epidemiology and biostatistics.
Q. Let me make sure -- and I'm going to ask you one more time for my clarity, and I apologize if I'm repeating myself.

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So cardiovascular, stroke, we'll get to some -- you know what, let me use this.

So cardiovascular, stroke, hypertension issues with respect to low birth rate, lactation, sex bias, cholesterol, you would put all of the work that you did in all of those areas under the umbrella of epidemiology?
A. Almost. It sounded like you were looking at some of my publications.
Q. I am.
A. There are a few of my -- there are a few of my publications where I function only and solely as a number-cruncher, and that I can't -- I cannot say is -- in all honesty, is epidemiology.

But when I am involved in the design and the execution and the analysis of a program, then that certainly must involve epidemiology.
Q. So as -- and I just want to make sure I'm clear. Putting the -- putting aside the underlying healthcare issue that you are addressing, the work that you've done throughout your career you consider to be epidemiology?
A. I'm not sure I understand what we put aside. What did we put aside?
Q. We're putting aside the physical ailment of
the individuals that you are compiling data in relation to. So for instance, I'm looking at some of your publications, and there is a publication No. 10.
A. Okay.
Q. "Amino acid composition of preterm and term breast milk during lactation," that is a publication that, as you described it, falls under the umbrella of epidemiology?
A. I would say that's one of the examples where it did not because I know -- even -- let me put it this way. There is the overall research, and there is my role in the research.

The overall research, I would certainly assert that if you look at the overall research, design, and execution, it is all -- all has epidemiology at its core. All of them do. Regardless of my role, all of them do.

Having said that, I was not involved in the overall design of several of my publications. And one of them is the publication you pointed out, No. 10, where I was asked to come in and actually -essentially to analyze the data.

And when I come in and just -- and given a dataset, told to analyze it, and to provide some

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result, I don't really consider that epidemiology. It's much too narrow, but somebody must interpret that data, and that interpretation is epidemiology. Does that help?
Q. Yes, very much so. All right. Well, let's take a look at your publication starting with number -- the first one. A. Right.
Q. Tell me what you did there.
A. Of course, yeah. This was a -- this is my very first publication ever, and it involved microbiology.

Essentially, I was asked to -- the
hypothesis was that we -- we knew that patients with cancer -- this is primarily hematologic cancer, leukemia -- commonly required anticancer chemotherapeutic agents in addition to antibiotics. They required the anticancer chemotherapeutic agents because they had cancer. But the combination of the cancer and the effect on the anticancer chemotherapeutic agents on their bone marrow depressed this immune response. These patients were on the antibiotics. I'm just setting the stage.

So patients are on both types -- both classes of medicine. There have been an observation

## Page 4

Having said that, I will say I've had an awful lot of physics.
Q. Okay.
A. I can -- I understand kinematics. I understand Newton's laws of forces. I understand free fall. I understand little about astrophysics, but I would not hold myself out as a physicist.
Q. Okay. So this particular publication was again -- you were asked to perform a specific task within the larger scheme of this trial, I guess, so to speak?
A. Well, I would put -- I'd put it this way. I had a much broader role here. This is different than the publication 10 where somebody just gave me data. In publication 1 I was given the problem, and I had to think through what data I need to collect, what anticancer chemotherapeutic agents do I -should I study, what antibiotics should I study, what different types of bacteria should I study, and to what degree should this all be replicated.

So I was really given a lot more leeway as an undergraduate student. And even though this was published in '78, this work all happened in '73, '74.
Q. Okay. That's -- that's what I thought I

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was getting at when you said you were doing this as an undergraduate. Although it was published in '78, the work happened while you were an undergraduate in '74-- '70 to '74?
A. I think -- I think it was 1973 to 1974.

It's over two years.
Q. And the underlying -- this kind of goes back to the point I was attempting not so well to make a while ago. The underlying medical issue for this publication was cancer?
A. Yes, sir.
Q. Do you consider the work done with respect to this publication epidemiology?
A. I do.
Q. Okay. That's all I -- that's what -- I'm trying to learn the definition of epidemiology. Well, let me just ask that.
A. Sure.
Q. And I may have asked it previously, but could you give me what your -- the formal definition of epidemiology?
A. Sure. I would say epidemiology is the process by which the true nature of the exposure/ disease relationship is deduced.
Q. And under that definition, epidemiology
could include any type of disease?
A. Yes, sir, yes.
Q. Okay.

THE WITNESS: I'm going to ask to take a break in five minutes, just to give you a heads-up.

MR. MILLER: We can take one right now, actually. I'm ready for a break, if that's okay.

THE WITNESS: Okay. Five minutes okay?

MR. MILLER: Five minutes will work. (Recess taken.)
BY MR. MILLER:
Q. All right. Doctor, we were -- when we left, we were going through some of your publications. Let's take a look at the second one, which was published in 1979.
A. Oh, okay.
Q. The 'Environmental contamination of continuous drip feedings in pediatrics," was that -when you say "drip feedings," was that in relation to breast feeding?
A. No. I -- I'm going to have to refresh my own memory about this one.

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Q. Okay.
A. No, it was not -- it was not about breast feedings; it was about the -- it was supplemental feeding divided -- provided to infants in the hospitals.
Q. Okay. And I only brought up breast feedings because I noticed that there were a number of breast feedings publications that you had --
A. I see.
Q. -- throughout your life.
A. But this -- but this one where the concern was that -- this was an antimicrobial study. The concern was that there might be some contamination of the drip feeding apparatus.
Q. Was your contribution to this particular publication task related?
A. It was simply -- it was simply data analysis.
Q. So this was not -- would not have been under your definition of epidemiology -- an exercise in epidemiology?
A. Correct. I learned some epidemiology from speaking with the senior investigators, but my role was really just as a data analyst.
Q. Okay. And at that time, you were in

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A. Essentially, the research had already been designed, already been executed, and I was asked to analyze it. And this series of publications are all the same genre. Because I was known in medical school as someone who knew mathematics and statistics, so I was commonly asked at the end of the day to help analyze. I was not asked at the inception of the project to design the project because I had really no pediatric expertise.
Q. Okay. So in order -- and I'm all about efficiency, Doctor. So if in fact there's a publication that you contributed to in the area of pediatrics --
A. Yes.
Q. -- it would have been typically data analysis?
A. I guess I would have said this. By and large, any publication that occurred before -- any of the publications up through 12 , most of those publications are data analysis.

## Q. Okay.

A. There are a couple of exceptions. Eight is an exception, and 1 is an exception, but the other ones through -- up through and including 12 are pretty much my work as an advanced medical student
who knew some statistics.
Q. Understood. And 8 was -- that entry says, "Modeling of pharmacology treatment of hypertension, math and science." Would that have -- would you have considered that epidemiology?
A. I would consider that epidemiology and mathematics, yes.
Q. A combination of the two?
A. Yes, sir.
Q. And you did the modeling for this particular publication?
A. Yes. This is my idea, my research, my design, my analysis.
Q. And you -- as evidenced by the title, you did some type of modeling with respect to hypertension?
A. Yes, sir, with respect to the pharmacologic treatment of hypertension, yes.
Q. Meaning the types of medicines that would be prescribed to individuals with hypertension?
A. Meaning -- yes, meaning trying to optimize the best sequence of drugs in treating hypertension. You got a universe of drugs. How does one decide what drug they use first, and if that drug fails and the state of high blood pressure persists, what

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drugs should follow?
I did the modeling to follow which drugs would be the sequence, the best optimal sequence of the drugs.
Q. And under your definition of epidemiology which you gave me a second ago, the process by which the true nature of the exposed disease is deduced, in this case the exposed disease is hypertension?
A. The disease it hypertension, and the exposure now is the treatment. Patients exposed to treatments.
Q. Right. Okay. I'm learning some stuff. I appreciate this.
A. Well, so am I.
Q. All right. So, now, let's look at entry No. 13, which is again another journal with respect to the hypertension.
A. Thirteen is clinical epidemiology, if I'm reading right. Thirteen is the SHEP Cooperative Research Program?
Q. Yes. And if I -- it says 'The SHEP Cooperative Research Group rationale and design of a randomized clinical trial on prevention of stroke in isolated systolic hypertension'?
A. Yes, that's right.
Q. All right. So what we have in 13 is a journal concerning stroke and hypertension. And hypertension being the disease and clinical trials -- or prevention of stroke and hypertension being the exposure?
A. I'm sorry. The last thing you said was what?
Q. Exposure.
A. Oh, right. So let me be clear. This is a study which provides our intent -- no, it provides our design to determine whether the treatment of isolated systolic hypertension could reduce -- could prevent -- excuse me, could prevent the occurrence of stroke, and it occurred -- this was published in an epidemiology journal.
Q. Journal -- I see it. Okay.

But, again, I want to go back to your definition, so I can -- because it'll help us move through these a lot quicker if I can, in my liberal arts mind, put your definition in two prongs; the first being the disease, and then the second being some type of exposure, whether it be treatment or something else.
A. I understand.
Q. And in this case, the disease or diseases

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are hypertension and stroke?
A. The disease -- the disease is -- in the two-prong approach, the disease that we want to impact is stroke.
Q. Okay.
A. And the exposure is the treatment of isolated systolic hypertension.
Q. My science teachers would be so proud of me right now. All right.

And so that particular publication, or this journal under your definition, is under the -- under the science of epidemiology?
A. Yes, sir.
Q. All right. No. 14 -- and I am going to go faster than this, but there are some I'm going to spend more time on than others.

No. 14 is something in particular you were in charge of. I take it that when I look at your publications, if your name is in bold and first, it's a work that you were primarily responsible for?
A. Yes, sir.
Q. Okay. The theory of runs with application for drought predictions, tell me what that is.
A. Well, one thing I can tell you, that that is not epidemiology.
over the next 100 flips, approximately 50 of them are going to be heads. Fair enough? Fair coin.
Q. Yes.
A. Okay.
Q. That's the theory of a run?
A. Well, actually no, no. I wish. No, no, no. The theory of runs talks about -- well, how likely is it I'm going to get three runs of six heads in a row? See the run is a successive -- a successive occurrence of the same event.
Q. Understood.
A. Okay. And so my work was on solving that probability. And then -- and then in my CV -- my CV is punctuated with publications in that area.
Q. Understood. Perhaps we can get more into that after this case is over in a casino, but I understand.
A. Okay. If they let us in.
Q. So 14 and 15 both are just mathematics and probabilities?
A. Yes.
Q. As I perused through several of these, 17 -- well, not so much 17 , but 19 involves stroke, 20 involves cardiac, 21 involves coronary artery disease, 24 involving cholesterol. Do you consider

## all of these to be epidemiology?

A. Yes. I would say, to use our common language -- could you scroll back up a little bit? Okay. So right there. I would say beginning with 15, we have a long run of epidemiology articles.
Q. Okay. And the disease varying from stroke, sex bias, and management of coronary artery disease, cholesterol, disease varying greatly?
A. Yes. And --
Q. But still epidemiology?
A. Correct. And cardiac arrhythmias.
Q. Repeat that for me and the court reporter.
A. Sure. I'm sorry. Articles 16 and 17 are cardiac arrhythmias.
Q. Okay.
A. Okay. So cardiac arrhythmias, stroke.

Heart function is measured by left ventricular ejection fraction and cardiac volumes. Sex bias -I'm sorry, selection bias, and SHEP and also CARE, Cholesterol and Recurrent Event trials, begins a long run of epidemiology-based work.
Q. Okay. Now, I didn't see it anywhere in your -- well, let me -- hold on one second before I make that statement.

You have never been employed by a

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government agency in the field of epidemiology, have you?
A. I have, sir.
Q. You have?
A. I have.
Q. Okay. And we're going to get to what I -I see you have community service government on your CV. What -- when were you employed by the government to act as an epidemiologist?
A. If we could -- you have me at a little bit of a disadvantage because I can't scroll my CV. But if you go to -- I'm not -- I think it comes after this section. I don't actually remember if it was before or after. If you keep going...
Q. After this section, you have submitted editorials, published correspondence, books, book chapters, teaching experience.
A. All right. It would be before this. So let me just tell you. I was a member of a food -federal food and drug administration advisory -advisory council.
Q. Okay.
A. And that was from 1996 to 2000 and then from 2000 to 2002.
Q. Now, where you -- you said you were a

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A. Primarily, yes. I don't remember any
kidney drug that we reviewed, so they were primarily, if not exclusively, cardiovascular.
Q. And then the second stint on the committee you said were generics?
A. Yes.
Q. So were there any particular type of diseases that you were focused on from 2000 to 2002 ?
A. I don't remember.
Q. Okay. But the drugs themselves were generic drugs?
A. Yes, sir.
Q. Going back to -- I think it's page 5 of the $C V$ where we left off.

As I went through the publications, Doctor, most dealt with hypertension, cholesterol, cardiovascular, some smoking, stroke. In all of your publications -- and I'm trying to do this for your own benefit -- are there any publications regarding viruses?
A. There are no publications here focused on viruses. That's correct.
Q. Okay. Have you authored or been a contributing author in any publication that focused on viruses?

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A. Well, my publication -- my universe of publications is right here.
Q. Okay.
A. So -- so I'm -- I'm just trying to think about what was the first thing.

No, nothing published. I am working on a book right now that will be published in March 2021 that talks about viruses, but nothing published on my CV, nothing so far.
Q. Now -- so with that I do not have to go through the some 200 plus articles because, in essence, we've talked about some of them.

I understand the scope of what you deem to be the field of epidemiology. And we can agree that none of the articles that you have published to date involve the area of viruses, so we don't need to look at the titles of each one.
A. That is true. The -- the articles are not the universe of my work, but focus only and solely on articles. That is correct.
Q. Okay. No, we'll get to the entire universe, I promise. All right. Let's go to page 20 of 34, please.

Editorials -- the editorial listed here -what's the difference between an editorial and the

## journals that we've looked at?

A. The articles -- so far we've looked at 1 through 22 -- are reflective of original research. So one way, shape, or form, original data that nobody had seen before had been published along with the analysis and the interpretation.

An editorial is different. An editorial responds to data that has been published. Commonly these are invited, and sometimes they're controversial. But editorials are of a different dope. They are much shorter, and they are responding to the data that has appeared in someone else's work.
Q. Do you recall whether you were invited for this particular editorial?
A. Oh, yes, yes.
Q. Okay. And you were invited?
A. Yes.
Q. And it involved -- again, in the area of the heart, cardiac?
A. Cardiac -- cardiac arrhythmias, yes.
Q. Okay. And we actually saw earlier a number of articles that you published in the same area?
A. Yes.
Q. Okay. Published correspondence, give me

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the distinction there.
A. That's just a letter to the journal really.

That's all that is, is a letter.
Q. Okay.
A. One paragraph, two or three paragraphs, really very short.
Q. Got it. And none of your correspondence dealt with viruses, correct?
A. Could you go to the next page, please.

Oh, never mind. That -- that's books. So none of my correspondence deals with viruses.
Q. Okay. You have -- and let's go from the bottom of page 20 to the top of page 21 under "Books." You haven't published any books on viruses, have you?
A. I've published no textbooks on viruses, no.
Q. Go to the next section, which is 'Book

Chapters." You haven't written any chapters in any books on viruses, have you?
A. No.
Q. Okay. Now, the next section is your teaching experience, and you have quite an extensive teaching experience dating back to 1987 , which I believe is the year before Mr. Davillier graduated high school. Most -- and correct me -- lots of it
statistical analysis.
I want you to tell me about this
Experimental Methods in Epidemiology in fall of 2001.
A. Yes.
Q. Where were you teaching?
A. University of Texas School of Public Health.
Q. Okay. Now, clearly with the statistical analysis, the immediate biometry -- I prefer saying biostatistics.
A. Okay.
Q. You had 100 percent responsibility. So tell me the distinction between that and the 25 percent responsibility that you had in experimental methods of epidemiology.
A. Sure. So this is part of my -- what did we say -- a secondary appointment. I was asked to help co-teach a class in epidemiology.

Now, I'm in biostatistics. I as a biostatistician can't be 100 percent responsible for an epidemiology course. The epidemiologists have to take -- have to be responsible for most of the course, most of the -- most of the administrative burden. So my responsibility was 25 percent. Does

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that answer your question?
Q. It does. And can you tell from -- and let's just stick with the fall of 2001. Can you tell from -- and I can't, that's why I'm asking -from your CV, what the underlying disease was?
A. Oh, sure. So here we are talking about the design of epidemiologic studies, and I believe these were principally clinical trials.
Q. Okay.
A. That -- from an epidemiologic point of view. And did that answer your question, or do we need to go further?
Q. Well, let me do a follow-up question, and that may help.

So you are in collaboration with the epidemiologists at University of Texas. They ask you to help or to collaborate in the teaching of a class in which you are responsible at 25 percent, correct?
A. Yes, sir.
Q. And your contribution to that 25 percent, correct me if I'm wrong, is specifically related to your experience in biostatistics and the management and design of clinical trials?
A. I think that's fair.

|  | Page 65 |  | Page 67 |
| :---: | :---: | :---: | :---: |
| 1 | Q. Okay. In all of these various courses |  | identified as falling under epidemiology, and it's |
| 2 | taught beginning in the fall of 2001, they go |  | clear there -- of any of those instances, did the |
| 3 | through the fall of 2009, then -- yeah, and then it |  | supervision involve epidemiology with the illness |
|  | drops down in the fall of 2010 to 15 percent. |  | being a virus? |
| 5 | But, nonetheless, is that an accurate |  | A. Oh, boy. I don't know that I can answer |
| 6 | description of your role in all of these | 6 | that for you. I'll look at these. |
| 7 | experimental methods in epidemiology where you would | 7 | Q. Well, I'll just tell you. I went through |
| 8 | bring your clinical trial expertise and |  | them. We have lung disease, coronary artery |
| 9 | biostatistics expertise to the classroom? |  | disease, maternal weight status, relationship |
| 10 | A. That is correct. However, it wasn't -- the | 0 | between prenatal smoking and infant birth. None of |
| 11 | subject matter was not all cardiology and | 11 | them that were -- that stood out to me as involving |
| 12 | hypertension. | 12 | a virus. |
| ${ }^{13}$ | Q. Okay. The subject matter varied? | 13 | A. Sure. I mean, some of these I don't have |
| 14 | A. Right. | 14 | anything. Like, Dillip, one, two -- fourth or fifth |
| 15 | Q. Okay. Can you tell from this what the | 15 | one from the top. |
| 16 | subject matters were? | 16 | Q. Yes. |
| 17 | A. Well, from my memory, I could tell you that | 17 | A. I don't know what Dillip's was in. I don't |
| ${ }^{18}$ | it was -- there were behavioral trials, trials in | 18 | know what Wednesday Foster's was in. |
| 19 | cost-effectiveness, vaccine trials that involved | 19 | Q. Okay. I'm now looking through your guest |
| 20 | viruses. That's all I remember. | 20 | lectures. There are several in biostatistics, |
| 21 | Q. Behavior trials, vaccine trials, | 21 | several involving clinical trials, several involving |
| 22 | cost-effectiveness trials? | 22 | cardiovascular disease and other related cardio-type |
| 23 | A. Quality of life trials would be another. | 23 | issues. I did not see a single guest lecture |
| 24 | Alternative medicine trials would be another. | 24 | involving viruses. |
| 25 | Q. And I'm going to try to do a simplified | 25 | A. I got one for you. |
|  | Page 66 |  | Page 68 |
| 1 | version, just for my mind. | 1 | Q. All right. |
| 2 | Subject matter notwithstanding, your | 2 | A. No. 17. |
| 3 | expertise would be the procedural side of a clinical | 3 | Q. Seventeen. Let's look at No. 17. '''The |
| 4 | trial? | 4 | trouble with' -- |
| 5 | A. As I understand procedural, I would | 5 | A. Terfenadine. |
| 6 | disagree with you. I would say that my contribution | 6 | Q. -- 'Terfenadine; Seldane, Safeguarding the |
| 7 | had to do with the epidemiologic and biostatistical | 7 | Population, and the Policy Maker's Dilemma,' |
| 8 | component. | 8 | Opportunities in Biostatistics Workshop 1984.' |
| 9 | Let me try another way. I'm not in these | 9 | A. Right. |
| 10 | courses to be a -- to talk about the biostatistic | 10 | Q. Tell me about that. |
| 11 | side. I'm there to talk about the epidemiology and | 11 | A. Sure. So terfenadine, or the trade name |
| 12 | biostatistics and how they work together. | 12 | Seldane, is the treatment for rhinitis, and rhinitis |
| 13 | Q. Okay. | 13 | can appear from viral infections as well as seasonal |
| 14 | A. And I pointed out earlier that -- I won't | 14 | allergies. And the problem with terfenadine was |
| 15 | repeat this, that my definition of a clinical trial | 15 | that it caused a very rare cardiac arrhythmia, rare |
| 16 | involving the Bradford Hill causality -- | 16 | but lethal. And there were discussions about -- and |
| 17 | Q. Yep. | 17 | I lead discussions about what is the best thing to |
| 18 | A. Right. Well, the question is, well, how | 18 | do with a drug that is effective for most of the |
| 19 | do -- how does one do that? I mean, it sounds nice, | 19 | population but lethal for a few. |
| 20 | affluent, but how does one actually do that? I | 20 | Q. All right. So this drug -- so this |
| ${ }^{21}$ | would talk about that. | 21 | particular guest lecture focused on a drug, that the |
| 22 | Q. Okay. That's fair. | 22 | drug was intended to treat a virus? |
| 23 | So let's look at student supervisions. Of | 23 | A. Yes. I mean -- |
| 24 | all of the instances where you served in a | 24 | Q. That's virus being a viral infection? What |
| 25 | supervisory role of students, many of which you have | 25 | type of viral infection? |

A. A mere -- a common cold. So a rhinovirus, adenovirus, picornavirus, coronavirus, these kind of viruses that cause common cold. Now, it wasn't used only for that. It was also used for hayfever.
Q. Now, you just used a buzz word, coronavirus.
A. Yes.
Q. And in the same context, the common cold.
A. Yes.
Q. You're not talking about COVID-19 when you -- just now, when you used the phrase 'coronavirus'?
A. I would say I am not talking about SARS-CoV-2.
Q. Right. Which causes COVID-19?
A. Correct. The other coronaviruses that have been around for many years that cause the common cold.
Q. But the actual lecture was not about the virus; it was about the drug?
A. Well, the actual lecture was about viruses and what they do, as well as about the arrythmia. I mean, I have to lecture about the diseases the drug treats and also the side effects of the disease. So that was an important component of the
A. -- as being about viruses.
Q. Okay. And so what about basic biostatistics is -- what is NIH? What organization is that?
A. National Institute of Health.
Q. Okay. K to R0 meeting in Bethesda?
A. Oh, I'm sorry about the jargon. K to R01
is really a breadth of grants that grant -- that grantees request. A K award is an award for junior investigator. An R01 award used to be for junior; now it's for more senior.
Q. Both 90 and 91, is it fair to say that the primary purpose of those lectures were biostatistics?
A. Oh, sure. I mean, we gave examples using viruses, but yes, they're titled biostatistics.
Q. Okay. Certainly, Doctor, I went through all of your community service government and your community service nongovernment. And although there are a lot of biostatistics, there's a lot of cardiovascular work, lung, blood institute. I did not see anything that was specifically related to viruses.
A. Can we scroll through those, please? I
want to make sure we don't have any trojan horses

## Page

 72here.
Q. Sure.
A. Let's see. Well, the sickle cell program -- let's look at 7. Is that right, 7? Let me scroll down to -- where am I -- 21 and 22 and 24, and if we go below that, 30 , and below, 35 , okay, 36, 41, 51, 61 .
Q. Let's stop at $\mathbf{6 1}$ for a second.
A. All right.
Q. Scroll back to the first page, page 27 of 34. So all of the ones that you've identified thus far deal with the -- sickle cell?
A. Correct, yes.
Q. All right.
A. Right.
Q. And why don't you tell me your reasoning as to why you identified these as involving viruses.
A. Sure. These all are part of a program to test the effect of a therapy in sickle cell disease in children. And one of the measures that we had to keep focused on were infections, including viral infections. It wasn't exclusively viral infections. These poor kids get pneumonias as well, bacterial pneumonias, which are very bad.

But viral infections. And so my role was

|  | Page 73 |  | Page 75 |
| :---: | :---: | :---: | :---: |
| 1 | to review the data, including the data on viruses, |  | Q. In 1987, what epidemiology background did |
| 2 | to determine whether the study should be allowed -- | 2 | you have? |
| 3 | allowed to proceed or not. |  | A. Oh, in '87, again, I was -- I've been a |
| 4 | Q. Okay. So let's just take them one by one. |  | physician, and now I've been a physician for almost |
| 5 | The -- this entire program was designed to help |  | ten years. So as a physician, I bring epidemiology |
| 6 | sickle cell patients with sickle cell anemia? | 6 | background because I have training in epidemiology |
| 7 | A. Correct. Right, the hypothesis -- the | 7 | and also at -- in medical school. I also have |
| 8 | clinical hypothesis was that there was an | 8 | clinical acumen, and I also had training -- excuse |
| 9 | alternative therapy to the standard therapy for | 9 | me, training in my Ph.D. program in epidemiology. |
| 10 | these children with sickle cell disease. There is | 10 | And that was all behind me as of May 1987. |
| 11 | now a therapy for their sickle cell disease -- | ${ }^{11}$ | Q. Got it. And so the primary purpose of this |
| 12 | Q. Okay. | 12 | particular organization was to address alternative |
| 13 | A. -- that would help them. That was the | 13 | therapies for sickle cell, right? |
| 14 | clinical hypothesis. | 14 | A. Well, I put it this way. The purpose of |
| 15 | Q. And your role was -- first of all, this was | 15 | this group -- the specific purpose of this meeting |
| 16 | community service, right? You didn't do this for | 16 | was to identify the candidate universities who had |
| 17 | pay? | 17 | sufficient research experience and expertise that |
| 18 | A. I actually don't remember if it was pay. | 18 | they could design a good program. |
| 19 | It wasn't very much, but I think it was for nothing. | 19 | Q. Okay. And your testimony to me today is, |
| 20 | It might have been $\$ 150$ a year, but... | 20 | within that, those applicants had to identify what |
| 21 | Q. Okay. No. 7, National Heart, Lung, and | 21 | they had done or their research with respect to |
| 22 | Blood Institute SCOR Site Visit. So what exactly | 22 | viruses? |
| 23 | did you do in May of 1987 that warrants putting this | 23 | A. Well, actually no, sir. It is that these |
| 24 | on your CV? | 24 | were-- these institutes had to show their ability to |
| 25 | A. Oh, we reviewed the applications of -- yes, | 25 | manage and treat infections in sickle cell children |
|  | Page 74 |  | Page 76 |
| 1 | the applications of a host of different national -- | 1 | with diligence. So it wasn't their research prowess |
| 2 | a host of university research institutes that wanted | 2 | with viruses. It was their clinical prowess. |
| 3 | to conduct this program. And in that review they | 3 | Q. Okay. Got it. So is it fair to say in |
| 4 | had to provide data showing their research prowess | 4 | each of these that we have identified thus far |
| 5 | in general and their ability to successfully treat | 5 | involving sickle cell, your role was the same? |
| 6 | children. And in that data included data about | 6 | A. Yes. |
| 7 | bacterial and viral infections. | 7 | Q. Okay. Other than your work with this group |
| 8 | Q. Okay. Were you on the board of the | 8 | involving sickle cell, are there any other |
| ${ }^{9}$ | National Heart, Lung, and Blood Institute? | 9 | governmental community service roles that you played |
| 10 | A. I don't know what a board is for the | 10 | that would have involved viruses? |
| 11 | National Heart, Lung, and Blood Institute. Did I | 11 | A. I don't know. How many of these do we |
| 12 | say that somewhere, board? | 12 | have? |
| 13 | Q. No, no, no. I'm -- so how did you come to | 13 | Q. They stop at 112. I'm now on 61. |
| 14 | be one of, I assume, a number of physicians that | 14 | A. Okay. I'll try to be quick. Let me look |
| 15 | would review these applications? | 15 | at these real quickly. Okay. We can scroll to the |
| 16 | A. Oh, that's a fair question, especially | 16 | next page. 102, but that's the same genre we've |
| 17 | since I wouldn't get my Ph.D. for another month. | 17 | been talking about. I think No. 112, the Innovation |
| 18 | I didn't graduate until June of 1987. But they | 18 | in Regenerative Medicine Symposium. |
| 19 | were interested in people who had -- who were | 19 | Q. Okay. What makes you think that? |
| 20 | physicians and had an epidemiology background, who | 20 | A. Well, that was a collection of lectures |
| ${ }^{21}$ | could provide some insight into whether these | ${ }^{21}$ | that were given by experts in the field of |
| 22 | candidates -- which of these candidate sites was | 22 | regenerative medicine, and some of them discussed |
| ${ }^{23}$ | worthy for funding. | 23 | the role of virology in regenerative medicine. |
| 24 | Q. Okay. But you didn't -- | 24 | Q. Would you have given a lecture on the role |
| 25 | A. So I was invited to be part of it. | 25 | of virology? |

A. No, I would not have.
Q. Okay. So in December of 2017, you didn't
lecture anyone on the role of virology, did you?
A. I did not.
Q. What about your community service in nongovernment? Do you have any recollection of lecturing or participating in any type of seminars or meetings involving viruses?
A. I'm up to 26 , and so far the answer is no. Oh, 52 , so far the answer is no. Oh, goodness. Seventy-four and 75 definitely. All of the Berlex Pharmaceutical meetings were virology. Also, the sickle cell disease DSMB meetings were virology, discussed viruses.
Q. Let's talk about 74.
A. Sure.
Q. What is DSMB?
A. DSMB is a data safety and monitoring board. It -- I'll stop there and then...
Q. Now, these are non -- you described them as nongovernmental community service. So did you serve on the data safety and monitoring board?
A. Yes.
Q. All right. What role?
A. I was -- I was an epidemiology and
all done within the -- the sphere of drugs.
Q. Okay. So, now, I'm getting some clarity. Berlex is a pharmaceutical company?
A. Yes, sir.
Q. And they are conducting clinical trials with respect to one or more of the drugs that they're creating?
A. Yes, sir.
Q. And they ask you to be a part of the data safety and monitoring board for them?
A. Yes, sir.
Q. Okay. In 2003, do you recall the drugs that you were asked to evaluate?
A. I would say in general, yes. I don't recall the specific species, but in general, yes.
Q. Okay. But in essence -- and is it fair to say that because of your experience in handling clinical trials or being involved in clinical trials, you received the invitation?
A. I don't think so. I think it's the fact that I was a physician as well and that I had epidemiology skills.
Q. Epidemiology, meaning the process by which the true nature of the exposed disease is deducted?
A. Yes, sir.

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biostatistics member of the board.
Q. So you were just a member of the board?
A. Well, everybody was just a member of the board. Yes, right.
Q. I didn't mean that disparagingly. You were a member of the board. You brought whatever skill set you had, but you were a member of the board?
A. Right. The clinical epi and biostat skill set, I brought to the board.
Q. Okay. And you were on that board for how long?
A. I guess, you know, this list will tell us, but I think a couple of years at least.
Q. Okay. And what is -- what was the primary mission of that board?
A. The board was to oversee the conduct of a clinical trial. We would look at all of the data and determine whether there was sufficient reason to stop the study because of an early benefit or to stop the study due to harm or to continue the study.
Q. Now, this says nongovernmental. Is this board, data safety monitoring board, a private institution?
A. Berlex Pharmaceuticals funded the study, and they asked us to oversee the trials. So it was

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Q. Okay. And do you have any recollection, in either 2003 or 2004, what the underlying disease was that Berlex was attempting to find a cure for, for lack of a better phrase?
A. I would only say it's a cardiovascular disease. I don't remember the details of it.
Q. Okay. Was that a paid position on that board?
A. I think it was.
Q. So the viral or virology that you just described would have been in the context of cardiology?
A. Yes, sir.
Q. Okay. All right. We stopped at 74, 75. Why don't you take a look --
A. Well, I'm sorry. I do have to clarify one thing.
Q. Sure.
A. The -- remember in the exposure/disease relationship, the disease was a cardiovascular disease. Here the exposure was the virus. The exposure is the virus.
Q. What virus?
A. I would have to look it up. It was never approved. I -- for all I know, it's still
proprietary. I don't remember. But I can tell you that the -- the treatment was to deliver about a trillion viruses to people to see if it had a beneficial cardiovascular effect.
Q. The treatment was to deliver viruses to see if it had a cardiovascular effect?
A. Right. A beneficial cardiovascular effect.
Q. So what role in that analysis did the drug play that you were evaluating?
A. The drug was the virus.
Q. Okay. So the drug, meaning the pharmaceutical that Berlex was attempting to create, was the virus?
A. Yes, sir. That -- the exposure was the virus.
Q. Okay. So you defined -- you can then define virus to include a drug that would actually have a beneficial effect on a patient?
A. Well, it -- the drug itself was the virus. It was nothing else but the virus. So these patients were deliberately infected with the virus.
Q. Which was a pharmaceutical drug that Berlex created?
A. Right. If we define drug as something that they created, yes, that's right. It was the drug

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that they created.
Q. And why then do you call the drug a virus?
A. Because the drug was the virus. You know, we think of drugs as molecules, statins for example, ACE inhibitors, aspirin. Well, there was no such molecule in this drug. It was only viral particles.
Q. Okay. You said -- what drug was it?
A. I don't -- for me to answer that question, I would have to have remembered the exact genus and species of virus they delivered, and I don't remember that.
Q. Okay. So the data safety monitoring board hired by Berlex to monitor their application of a particular drug that they created to patients that had cardiovascular disease?
A. I would say that we were to monitor the effect of the virus they injected on its role in producing or preventing cardiovascular disease.
Q. Right. And the virus being what they hoped would be something that would help cardiovascular disease?
A. Yes, sir.
Q. Okay. I wonder how many other doctors define virus in the manner in which you just defined it, but it's very interesting to me.
A. Well, it's not that I changed the definition of a virus. I think what we've done is expand the definition of a drug to include virus.
Q. Got it. Okay.
A. But, you know, it -- it was a very novel program, and I will tell you, quite honestly, it was very scary because now in most times in medicine, you want to avoid exposure to viruses. We don't think of exposure to viruses as being a good thing. Maybe a hundred years from now, we'll know better, but we don't think of it as a good thing.

Here, patients are exposed not to a handful of viruses, but to a trillion viruses. And it's our responsibility to see if these patients are being managed well and that they are doing well and to stop this if in fact it looked like they were not doing well. So this was a very, very sensitive research effort.
Q. (Indiscernible.)
A. Otherwise, we wouldn't know much about it. Yes?
Q. How many members would be on this data safety monitoring board?
A. It depends. I would say, in general, between three and seven.

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Q. Okay. And of the three to seven individuals, what disciplines do you recall -- let's say just in 2003. When you served on the monitoring board for Berlex Pharmaceuticals in 2003, how many individuals were on that board?
A. I don't remember, but I would say approximately five or six. I just don't remember.
Q. Okay. And of the disciplines of the five and six, what backgrounds would the individuals bring?
A. Well, if the end point is cardiology, then you'd have to -- you need a cardiologist. You would also need to have a specialist in the exposure. So in this case, there would be the physicians or the -- or the scientists who governed the exposure, who determined what right -- what the best dose was, what the virus should be.

So what do we have? We have a cardiologist so far; the scientist who developed the exposure -that's two -- an epi biostat person. That's me. That's three. You could have the chair of the steering committee. And I don't want to make it -get too complicated here.
Q. Yeah.
A. But clinical -- clinical centers that

|  | Page 85 |  | Page 87 |
| :---: | :---: | :---: | :---: |
| 1 | conduct the research, they have their own group. |  | picornaviruses. |
| 2 | That group has a chair called the chair of the |  | Q. Other than respiratory tract viral |
| 3 | steering committee. They can be on this -- on the |  | infection, what about type of viral -- |
| 4 | DSMB as well, so that's four, I think. And then |  | A. Oh, I would include early flu as well. It |
| 5 | there would be anesthetists. |  | was September, so it wasn't really flu season, but |
| 6 | Q. And in 2003, when Berlex Pharmacy was | 6 | early flu. |
| 7 | intentionally injecting viruses into individuals, | 7 | Q. Anything else? |
| 8 | how many individuals participated in that trial? | 8 | A. In terms of what we -- what patients came |
| 9 | A. I don't remember. It certainly -- I would |  | to the facility with, I would say no. However, they |
| 10 | say this, it certainly was not thousands. It was | 10 | did develop viral diseases while they were there. |
| 11 | smaller than that, but I just don't remember. | ${ }^{11}$ | Q. And the work that you're describing would |
| 12 | Q. Would this have been a trial that would | 12 | have been work -- volunteer work that you did as a |
| 13 | have been FDA approved? | 13 | medical doctor to assist individuals that had |
| 14 | A. Absolutely. | 14 | illnesses when they evacuated to Houston? |
| 15 | Q. Okay. Where could I find literature on a | 15 | A. Yes. But it turns out it was, |
| 16 | trial like this? | 16 | unfortunately, a little bit more than that. |
| 17 | A. I don't know how to answer that. | 17 | Q. Sure. Trust me -- |
| 18 | Q. Okay. | 18 | A. It was a -- |
| 19 | A. I mean, but -- but I don't mean to be | 19 | Q. Go ahead. |
| 20 | facetious. I would think something like therapeutic | 20 | A. I -- I simply mean to say that we had a new |
| 21 | virology. | 21 | epidemic that developed while we were there, that I |
| 22 | Q. When you served, you weren't serving in the | 22 | was involved in the identification of and treatment |
| ${ }^{23}$ | capacity as virologist, were you? | 23 |  |
| 24 | A. I was not; just as a physician, | 24 | Q. Why don't you describe that epidemic to me. |
| 25 | epidemiologist, and biostatistician. I'm expected | 25 | A. Sure. It was a rotavirus epidemic that led |
|  | Page 86 |  | Page 88 |
| 1 | to know virology, but I wasn't serving as a formal | 1 | to -- |
| ${ }^{2}$ | virologist. | 2 | Q. A what virus? |
| 3 | Q. Other than 74 and 75 -- | 3 | A. R-o -- r-o-t-o, rotavirus, or r-o-t-a |
| 4 | A. It would be everything. I'm sorry to cut | 4 | maybe, rotavirus, epidemic in children. |
| 5 | you off. Everything that had Berlex Pharmaceutical | 5 | Q. All right. Tell me about it. |
| 6 | at this point, would be the same thing. So 76, same | 6 | A. Sure. We noticed that the number of |
| 7 | thing. | 7 | children with new and persistent diarrhea were -- |
| 8 | Q. Okay. | 8 | was increasing. And we had to put our heads |
| 9 | A. Of course 86. | 9 | together to help identify the cause until we got |
| 10 | Q. 86? | 10 | viral types back. |
| 11 | A. Yeah. Can we scroll down, please? Oh, | 11 | Now, this was really clinical epidemiology |
| 12 | okay. Near the end here. | 12 | thinking because we didn't have sophisticated |
| 13 | Q. So tell me how your work during | 13 | technology to help us in the first few days, and we |
| 14 | Hurricane Katrina had all -- had anything to do with | 14 | decided that this was a new -- new for the Katrina |
| 15 | viruses? | 15 | evacuees, diarrheal viral infection, and began to |
| 16 | A. Of course. So as a treating physician | 16 | isolate these children. And at that point, we got |
| 17 | there, many patients had viral diseases with | 17 | tires back which suggested that it was rotavirus. |
| 18 | complications. They had viral diseases plus rampant | 18 | Q. You got what back? |
| 19 | hypertension or viral diseases and the -- in the | 9 | A. I'm sorry. We got viral -- viral chemical |
| 20 | presence of poorly treated diabetes or viral | 20 | results back by -- viral chemical essays back that |
| 21 | diseases in cancer. | 21 | told us it was rotavirus. |
| 22 | Q. Give me an example of some of the viral | 22 | Q. How many individuals does it take to be |
| 23 | diseases you're describing. | 23 | infected before something is determined to be an |
| 24 | A. Sure. Upper respiratory tract viral | 24 | epidemic? |
| 25 | infections caused by rhinoviruses, adenoviruses, | 25 | A. That's a good question. It really depends |

1 on the infection. It depends on the -- the -2 what's causing the disease.

For example, I'll give you tuberculosis. It takes more than one or two if these people are spaced far apart, for example. The bacteria -- the tuberculosis bacteria spreads relatively slowly unless people are really close together.
Q. How many people -- oh, I'm sorry. Go ahead.
A. On the other hand, to go with the other extreme, pneumonic plague spreads like wild fire through a population, where it's plague -- plague Yersinia pestis, plaque bacteria that is spread not from broken abscesses but through exhaled droplets. That spreads very rapidly.
Q. How many people does it take to be infected for -- with a rotavirus for it to be determined to be an epidemic?
A. Okay. So I -- so I'm a physician so I'm going to have to make a physician's distinction here. It doesn't take much to get infected at all. Infected simply means that -- in this case the virus is on or in the other person. That's infection. Illness is the impact of the infection on the individual.

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Q. Which one -- which one triggers an epidemic, illness or infection?
A. Actually both do.
Q. All right. So --
A. Virus leads to illness, leads to spreading an epidemic.
Q. How many individuals does it take to have infection and illness in a rotavirus for it to be determined to be an epidemic?
A. I would -- I would have to look and see. I can't remember -- don't remember that.
Q. Okay. Who determined that the work that you were doing in Houston with children, during Hurricane Katrina, that contracted rotavirus that became infected and ill, was an epidemic?
A. It was our decision as physicians and epidemiologists.
Q. Who's 'our''? You and who else?
A. Oh, and the other physicians I was working with.
Q. Was there an organized group of physicians, or was this just volunteer work?
A. Well, it was all volunteer work.
Q. Okay. But what --
A. We were the only healthcare -- only
physician -- the only physician healthcare team were volunteers, at least in the early phase.
Q. Was the group organized with a particular name or structure?
A. No.
Q. Okay.

MR. MILLER: Why don't we -- we've been going for a minute. Why don't we take another five-minute break.

THE WITNESS: Fine with me. MR. ALVENDIA: Yeah, it's fine.
(Recess taken.)
MR. MILLER: All right, Doctor.
Back on the record. Thank you.
BY MR. MILLER:
Q. What -- who engaged you in this matter?
A. Dave Matthews out of Houston.
Q. Dave Matthews?
A. Yes.
Q. Who is Dave Matthews?
A. Dave Matthews is an attorney in Houston.
Q. And who does Mr. Matthews work with?
A. I couldn't tell you. If you know, maybe -maybe you can ask me a different way. I can be more helpful.
Q. Is he with a law firm that you -- do you know the law firm that he's with? I'm sorry.
A. Oh, I -- I -- I think it's just Dave Matthews LLC, but he does work with other lawyers whose names I don't recall right now. I'll probably get in trouble for that.
Q. No, that's okay. And what did Mr. Matthews engage you to do?
A. Well, he put together a phone call with Mr. Houghtaling. I think -- I think Rico was on the -- yeah, it was a Zoom call. I think Rico was on the phone. There might have been one or two other people on the phone. And --

MR. ALVENDIA: Allen, just --
Hold on a second, Doc.
Just to clarify, this is not an
objection. Dave Matthews introduced the doctor to John Houghtaling and our team.
We obviously have retained him, have paid him, and so forth.

MR. MILLER: All right.
Go ahead, Doctor. You can finish.
MR. ALVENDIA: That's the
clarification.
THE WITNESS: Thank you for that. You

|  | Page 93 |  | Page 95 |
| :---: | :---: | :---: | :---: |
| 1 | said that more eloquently than I did. | 1 | A. Actually, no. I was just asked some |
| 2 | A. We had the first Zoom call where we talked | 2 | questions about my opinion based on my background |
| 3 | about the impact of coronavirus on businesses, |  | and expertise about what would happen with viruses |
| 4 | particularly restaurants and particularly the Oceana |  | in restaurants in general and specifically would |
| 5 | restaurant, and just what does a virus do in a |  | there -- would there not be physical damage. |
| 6 | restaurant. |  | Q. So you were asked specifically would there |
| 7 | BY MR. MILLER: | 7 | or would there not be physical damage? |
| 8 | Q. Now, prior to that call, had you worked | 8 | A. From the virus, from the SARS-CoV-2 virus, |
| 9 | with Mr. Matthews before? | 9 | yes. |
| 10 | A. Yeah. Dave and I have worked in the past, | 10 | Q. And what was your response? |
| ${ }^{11}$ | a long time ago. | 11 | A. My response was there would be damage. |
| 12 | Q. What type of work did you-all do together? | 12 | Q. And at the time you had this initial call |
| 13 | A. It was a Big Pharma litigation. | 13 | in 2020, and I think you just testified that you had |
| 14 | Q. Do -- are you working with Mr. Matthews on | 14 | never done any studies with respect to SARS-CoV-2 in |
| 15 | any other coronavirus cases? | 15 | restaurants, how did you come to the conclusion that |
| 16 | A. No. | 16 | there would be damage? |
| 17 | Q. Okay. And so what -- you said you had a | 17 | A. Because I understand viruses. I understand |
| 18 | Zoom call and you talked about the impact of | 18 | physics. I understand chemistry. |
| 19 | coronaviruses on restaurants? | 19 | Q. Did someone give you a definition of what |
| 20 | A. Yes. | 20 | damage was? |
| 21 | Q. Okay. Had you done any types of studies | 21 | A. No. |
| 22 | with respect to coronavirus on restaurants prior to | 22 | Q. You used a general -- your general |
| 23 | the Zoom call with Mr. Matthews and Mr. Houghtaling? | 23 | understanding of what the word 'damage" means? |
| 24 | A. No, I had not. | 24 | A. Yes, sir. |
| 25 | Q. Okay. When was that call? | 25 | Q. Okay. And what is that? |
|  | Page 94 |  | Page 96 |
| 1 | A. Oh, I would say -- well, this is the 10th | 1 | A. Physical transformation that leads to loss |
| 2 | of November. Maybe three week -- three and a half | 2 | of use. |
| 3 | weeks ago. | 3 | Q. And where did you get that definition from? |
| 4 | Q. So your first call with Mr. Houghtaling and | 4 | A. I don't know. I think it's just my |
| 5 | Mr. Matthews was in October? | 5 | experience with the use of the English language. |
| 6 | A. Yes, sir. | 6 | I didn't look it up anywhere. It's just my |
| 7 | Q. Had you spoken to -- I'm going to do it in | 7 | impression of what damage is and does. |
| 8 | pieces. Had you spoken with Mr. Matthews about the | 8 | Q. All right. And so I just want to make sure |
| 9 | effect of coronaviruses on restaurants prior to | 9 | I'm right. The first call that you had regarding |
| 10 | October of 2020? | 10 | being involved in this lawsuit for Oceana Grill was |
| 11 | A. I had not. | 11 | about three weeks ago? |
| 12 | Q. Had you -- in your call of October 2020 | 12 | A. Between three and four weeks ago, yes. |
| 13 | where it was both Mr. Matthews and Mr. Houghtaling, | 13 | Q. Okay. So that would have been -- I'll |
| 14 | was that the first time you had spoken with | 14 | give -- let's say four weeks. That would have been |
| 15 | Mr. Houghtaling? | 15 | October 10th? |
| 16 | A. Yes, sir, it was. | 16 | A. Fair enough. |
| 17 | Q. Was anyone else on that October 2020 call? | 17 | Q. Somewhere between October 10th and |
| 18 | A. Rico was on the call. | 18 | October 17th was the very first call with |
| 19 | Q. That's right. You did say him. | 19 | Mr. Matthews, Mr. Houghtaling, Mr. Alvendia, and |
| 20 | A. And there might have been one or two | 20 | maybe a couple other individuals on the phone? |
| ${ }^{21}$ | others, but I do not remember their names. | 21 | A. Yes, sir. |
| 22 | Q. Okay. And what was -- were you given any | 22 | Q. All right. After they asked you the |
| 23 | instructions during that call as to what your role | 23 | questions about whether you believed SARS-COVID -- |
|  | would be with respect to this litigation involving | ${ }^{24}$ | SARS-2, COVID-19 would result in damage, and you |
| 25 | Oceana? | 25 | said yes, did they then give you any other |

instructions?
A. Not yet, no.
Q. All right. When were you given additional instructions?
A. I think after I told them why. Let me be clear. After I told them the basis of my opinion and we talked about that for a few minutes, they asked me would I be willing to be involved in this case as an expert. Let me back up for a second.

They then gave me some background on the case about the -- about the restaurant, about the insurance companies, the argument the insurance companies were making, and then they asked me would I be willing to be an expert, serve as an expert in the case.
Q. And then what?
A. Then I said yes.
Q. All right. Then what?
A. Then they told me that I had to have a report, and I said, well, that's fine. And so I began to work on a report.
Q. Okay. Have you ever been inside of Oceana restaurant?
A. I have not, sir.
Q. So somewhere around October 10th,

## your work consist of?

A. Well, the work consisted of identifying the information that I thought would be -- that was a -my scientific foundation for my argument.
Q. Let me make sure. The call that happened on -- somewhere between October 10th and October 17th, you gave the lawyers in this case a conclusion about physical damage, correct?
A. I gave the lawyers in this case my opinion about the --

MR. ALVENDIA: Wait, wait, Doctor.
Objection to form.
Go ahead and you can finish your
answer.
THE WITNESS: Okay.
A. I gave the lawyers in this case my opinion about what CoV -- SARS-CoV-2 -- essentially what human viruses or viruses that infect humans in general do. Yes, I did do that.
BY MR. MILLER:
Q. Okay. And when you say "viruses that infect humans," you don't just mean -- so let's affectionally call SARS-CoV-2 and then the ultimate sickness -- is it fair scientifically, for the purposes of this argument, to call it all COVID-19?

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A. Actually, it's not fair.
Q. All right. Well, then --
A. I would be -- I would be willing to accept if you said CoV-2 as the virus -- COVID-19. I think that's shorthand. If it's helpful to you, it's unambiguous to me.
Q. So CoV-2 as SARS, can I say that -- or no. So SARS and CoV-2?
A. SARS -- we can call the virus either SARS or CoV-2, and the infection is COVID-19.
Q. All right. Let's call it CoV-2, because then I think that would be more familiar to the trier of fact that's going to ultimately see all of this. So we're going to say the virus CoV-2.
A. Yes.
Q. Okay. So what you gave to the lawyers on the -- somewhere between October 10th and October 17th was your conclusion regarding what you believe a virus similar to SARS or similar to CoV-2 would do to property?
A. Yes, sir.
Q. Okay. That it would cause a physical transformation that would lead to loss of use and also damage?
A. Well, damage was the physical
transformation and loss of use, yes.
Q. Okay. Now, you said viruses in general separately, a second ago. So there are other viruses that would also fall within the definition of a transformation, physical loss of use, which is ultimately damage?
A. Yes, sir.
Q. Would the flu be one of those?
A. Yes, sir.
Q. Okay. What other -- would the common cold be one of those?
A. It depends on the virus.
Q. But we can just use the flu. The flu would cause a physical transformation, loss of use, and then therefore damage to property?
A. Yes, sir.
Q. Okay. So once you hang up the phone, you've now had the opportunity to discuss the chemical, physical, and epidemiological effect of a virus on property with these lawyers, though then -and they asked you then to write a report?
A. I think we have to clarify a couple of things.
Q. Okay. That -- that's fair. I want to be --
the body, understand something about the surfaces it would impact, and then write my conclusion.
Q. Okay.
A. Now, I will say this, I had to -- I'm
sorry. Let me stop for a second.
Q. Go ahead, please.
A. I had to learn about things. I had to learn what the mayor and the governor said in March. I had to learn that. I had to learn where Oceana was in New Orleans. I had to come to a conclusion about the -- about the density of people with the virus within a certain distance of the restaurant. I had to do those things as well.
Q. Why -- if we're going to go through all of these, why was it important to learn what the mayor and the governor said?
A. Because the governor and the mayor's statement led to the decision at Oceana as to what level of customer support they should sustain, number one; and number two, the -- now, this is the epidemiologic part. This is not the physics and chemistry; it's the epidemiologic part. The governor and mayor gave their assessment based on epidemiology as to what was going on in New Orleans and what the best public safety measures should be

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A. Epidemiology -- I'm sorry. Go ahead.
Q. No, I said I want to be accurate, so please.
A. Epidemiology has a role in this case, in my understanding. Epidemiology is not, I say again, not part of the determination of physical damage.
Q. Okay.
A. That is the realm of physics and chemistry, not the realm epidemiology. So our conversation about physical damage had nothing to do with epidemiology. I want to be very clear about that.
Q. Physics and chemistry only?
A. Physics and chemistry, yes, sir.
Q. Okay. So you begin to draft your report?
A. Begin to do the research, getting the literature I know that's there that supports my opinion, yes.
Q. Tell me specifically what you did.
A. Sure. Well, it was an opportunity to review physics, review chemistry, then learn about what happened at Oceana, I mean, how -- I dare to say the characteristics of Oceana -- how big it is, how many people ingress and egress, then examine the studies that talk about the -- let's call it the durability of the virus, how long it exists outside
undertaken to protect people.
Q. Why is that helpful to your report?
A. Well, because I have to understand, in fact, whether it is likely or not that people with -- people infected with, what did we say, CoV-2 -- people infected with SARS-CoV-2 would be in the vicinity of the restaurant.

If they're not in the vicinity -- if the probability they're -- if the probability they're in the vicinity of the restaurant is zero, then I say the probability is also zero, or close to zero, that you'll get any kind of spread within the restaurant, and therefore there won't be any damage. There has to be virus to cause damage. So I have to assure myself that, in fact, the virus is there.
Q. So if -- in a hypothetical similar to the one you just gave, if the mayor or the governor's orders included a complete shutdown and none of the individuals that went into the Oceana restaurant had the virus, the virus would not be present?
A. Well, I would say I would agree with you; however, how one determines somebody walks into a restaurant doesn't have a virus is an important question for that hypothetical.

But accepting your hypothetical, if I can't

| Page 105 |  | Page 107 |
| :---: | :---: | :---: |
| demonstrate that there's virus, then there's no | 1 | Q. You have never -- you have not seen a study |
| point in invoking laws of physics and chemistry to |  | or a test done by some other third party that would |
| understand what happens with viral interaction. |  | confirm to you that CoV-2 is actually physically |
| Q. And I would get to this later on. You |  | present in the restaurant? |
| know, generally, I would ask an expert like |  | A. I have not seen such an activity. |
| yourself, tell me what assumptions you made to come | 6 | Q. Okay. |
| to your conclusion. | 7 | MR. ALVENDIA: I'll object. So I'm |
| And so on October 10th through 17th, when | 8 | not continuing to interrupt you here -- I |
| you had this phone call, and the scenario was | 9 | was going to object a second ago. |
| 10 presented to you that COVID-19 is present in Oceana | 10 | The legal standard here is more likely |
| 11 restaurant -- I'm sorry, I take that back -- CoV-2 | 11 | than not. The doctor has issued in his |
| ${ }_{12}$ was present in Oceana's restaurant, what's the | 12 | report that the virus is present more |
| ${ }_{13}^{13}$ effect? Your conclusion, that there would be a | 13 | likely than not. I just want to make sure |
| ${ }^{14}$ transformation of property resulting in loss of use | 14 | that we're following those terms here; |
| ${ }^{15}$ and therefore damage, there's an assumption there | 15 | we're not talking in absolutes. |
| 16 that the virus is actually in the restaurant. | 16 | MR. MILLER: I hear you. I think |
| 17 MR. ALVENDIA: Objection to form. | 17 | that's your position, and I accept that. |
| 18 You can answer the question. | 18 | We can argue the legal standard to the |
| 19 A. Correct, yes. | 19 | judge. But yes, I understand that that's |
| 20 BY MR. MILLER: | 20 | what his report says, and I know that's |
| ${ }^{21}$ Q. The entirety of your analysis, which is a | 21 | your position. |
| ${ }_{22}$ chemistry/physics analysis, assumes that there is | 22 | MR. ALVENDIA: Okay. |
| ${ }^{23}$ CoV-2 inside of the actual facility at 739 Conti | 23 | MR. MILLER: All right. |
| ${ }^{24}$ Street? | 24 | MR. ALVENDIA: Because you're using |
| 25 A. I would only disagree with that in saying | 25 | words like "assumptions," "assume," and so |
| Page 106 |  | Page 108 |
| that my report is more than chemistry and physics. | 1 | forth. I just want to make sure you're not |
| But the chemistry and physics component is | 2 | mischaracterizing his report, which |
| predicated on the assumption that the virus is | 3 | clearly -- I'm looking at the conclusions |
| present. | 4 | right now -- all say "more likely than |
| Q. And the -- not to argue. I accept that | 5 | not." I just want to make sure we stick to |
| your report has more than chemistry and physics. | 6 | that. |
| The portion of your report that is necessary for | 7 | BY MR. MILLER: |
| there to -- well, one of the major portions of your | 8 | Q. Well, I'll use Mr. Avendia's scenario for |
| report that is necessary for there to be | 9 | you, Doctor, just so we can have some clarity. |
| 10 transformation of property, loss of use, therefore | 10 | In your report when you say 'more likely |
| 11 damage, is the chemistry, right, the chemistry and | 11 | than not," that assumes that CoV-2 is present in the |
| ${ }_{12} \quad$ physics? I mean, the transformation of the property | 12 | facility, correct? |
| ${ }^{13}$ is the chemistry and physics? | 13 | A. Well, one of my -- actually, no. |
| 14 A. Correct. | 14 | MR. ALVENDIA: Wait, wait. Hold on. |
| 15 Q. Okay. And if you do not have CoV-2 in the | 15 | Let me make an objection to form. You're |
| ${ }^{16}$ facility, you don't have the physics, correct? | 16 | using the word "assumes" interchangeably |
| 17 A. Correct. There's nothing -- right, there's | 17 | with his conclusion of more likely than |
| 18 no agent of transformation. | 18 | not, which is based on much more than |
| 19 Q. And you have never been in 739 Conti Street | 19 | assumption. It's based -- |
| ${ }^{20}$ in New Orleans -- correct -- Oceana Grill's | 20 | MR. MILLER: We'll clarify, but go |
| 21 restaurant? | 21 | ahead. |
| 22 A. I have not. | 22 | MR. ALVENDIA: Hold on. It's based on |
| ${ }^{23}$ Q. You have never done an actual physical test | 23 | statistics, science, he just said, his |
| 24 of the surfaces in Oceana's restaurant? | 24 | medical experience and so forth. So |
| 25 A. That is correct. | 25 | just -- I'm going to object that you're |


|  | Page 109 |  | Page 111 |
| :---: | :---: | :---: | :---: |
| 1 | mischaracterizing his conclusion. It's not | 1 | BY MR. MILLER: |
| 2 | just an assumption. | 2 | Q. Let's assume the restaurant is locked. |
| 3 | But, Doctor, if you understand the | 3 | No one, not the owners, no person comes into the |
| 4 | question, please answer it. |  | restaurant. Is it fair to say that $\mathbf{C o V}-2$ would not |
| 5 | A. My -- the conclusions in my report are all |  | be present? |
| 6 | based on "more likely than not," so I don't assume | 6 | A. If the scenario is -- this is outside the |
| 7 | in my report. I don't take for granted, in my | 7 | bounds of my report, a hypothetical. |
| 8 | report, that there's COVID-19 in the vicinity of the | 8 | Q. Yes. |
| 9 | restaurant. I actually think, go through a thought | ${ }^{9}$ | A. If the assumption is that there is |
| 10 | process, that leads me to the conclusion that there | 10 | absolutely no organism that comes near or in this |
| 11 | is. It's more likely than not it's there. That's | 11 | restaurant with CoV-2 -- no humans, no bats, nothing |
| 12 | what I do. And the same -- and the same -- I follow | 12 | that has CoV-2 -- if CoV-2 is not in the restaurant, |
| 13 | that cascade right on through. | 13 | then you can have no damage from CoV-2 because the |
| 14 | BY MR. MILLER: | 14 | agent isn't there. But again, it's outside the |
| 15 | Q. All right. And we were talking in | 15 | bounds of my report. |
| 16 | hypotheticals. So I accept that your report walks | 16 | Q. And I'm going to get to your report in just |
| 17 | through a list of scenarios that lead you to "more | 17 | a second. We're going to talk about well within the |
| 18 | likely than not." I don't argue about that at all. | 18 | bounds of your report, I promise you. |
| 19 | And I understand that your conclusions are 'more | 19 | Similarly, when you wrote your report, you |
| 20 | likely than not." | 20 | and no one else had done any testing of the surfaces |
| 21 | What I'm asking of you, similar to the | 21 | inside of Oceana Grill, correct? |
| 22 | scenarios that you have walked through, that -- if | 22 | A. Well, I know I didn't do any testing. |
| 23 | there was another scenario, i.e., like the one we | 23 | Q. And you didn't rely upon any testing in |
| 24 | talked about a minute ago where, if there was a | 24 | doing your report, correct? |
| 25 | complete shutdown of the restaurant and no | 25 | A. Correct. |
|  | Page 110 |  | Page 112 |
| 1 | individual that had CoV-2 and/or the ultimate | 1 | Q. Okay. What did you -- and, in fact, let's |
| 2 | sickness, COVID-19, was present, the virus would not | 2 | go back. I want to make sure. |
|  | be present. Is that -- | 3 | Following your telephone conference, when |
| 4 | MR. ALVENDIA: Objection to form. | 4 | you started with the lawyers and you started to do |
| 5 | When you say the word "shutdown," you | 5 | your -- to draft the report, you learned about the |
| 6 | mean shut down to the public or shut down | 6 | characteristics of Oceana Grill? |
| 7 | to the employees, the staff, the vendors, | 7 | A. Yes, sir. |
| 8 | and so forth? I just want clarification on | 8 | Q. You reviewed studies about the durability |
| 9 | that. | 9 | of the virus? |
| 10 | MR. MILLER: Okay. | 10 | A. Yes, sir. |
| 11 | BY MR. MILLER: | 11 | Q. Are all of the studies regarding the |
| 12 | Q. No one is in the restaurant -- | 12 | durability of the virus contained -- that you relied |
| 13 | MR. ALVENDIA: No human -- | 13 | upon, contained within your report? |
| 14 | BY MR. MILLER: | 14 | A. Are all of the studies -- all of the |
| 15 | Q. -- that has -- no one is in the | 15 | studies that I have relied upon in writing the |
| 16 | restaurant -- | 16 | report are in the report. |
| 17 | MR. MILLER: Let me ask the question | 17 | Q. That was my question. |
| 18 | to the witness, Rico. You can put your | 18 | A. Okay. |
| 19 | objection on the record, and then if the | 19 | Q. There aren't any studies outside of what |
| 20 | witness doesn't understand, he can tell me. | 20 | you have identified for us -- there are no studies |
| ${ }^{21}$ | MR. ALVENDIA: You understand the | 21 | outside of this report that you're going to come |
| 22 | question, Doctor? | 22 | back later on and say, you know what, I also relied |
| 23 | THE WITNESS: I need to hear it again. | 23 | upon this? |
| 24 | MR. MILLER: I'm happy to repeat it | 24 25 | A. Well, that's a little different, so let me |
| 25 | for you. | 25 | just be absolutely clear. Again, all of the -- my |

report is based on, and only on, the studies that are referenced.
Q. Okay.
A. Since then, I have read other studies that I think buttress my findings, but those are not referenced in my report and the report isn't based on them.
Q. Are any of the other studies that you've read -- do any of those studies drive you to a different conclusion that is in your report.
A. They do not, sir.
Q. So then all of the studies that you have read since you authored your report lend you to the same exact conclusion that we have from your report that has been submitted in this case?
A. That is correct.
Q. Okay. And those studies generally dealt with the durability of $\mathrm{CoV}-2$ ?
A. Actually, not.
Q. Okay.
A. Those studies provided information about
the interaction of this virus with other organic
compounds, so that's on the physics/organic
chemistry section.
Q. All right.
A. And other studies, one other study in particular, informed me about the -- let's call it -- well -- well informed me about the presence of the virus in the vicinity of Oceana.
Q. What study was that?
A. That, I think, is -- I think that it's

Haverly. I can -- I can look to be sure, but I think it's Haverly.
Q. And that study is not referenced in your report?
A. Correct.
Q. Okay. But it dealt primarily with geographic location?
A. It -- it dealt with the density of cases --
Q. Okay.
A. -- in New Orleans.
Q. In the New Orleans area. All right.

And in preparing yourself to write your report, you looked at these studies, you considered surface impact, you learned what the mayor and the governor said and how that would impact your conclusion?
A. Yes, sir.
Q. Looked at Oceana's location?
A. Yes, sir.
Q. And that -- okay.
A. And also, I assume we're not going over the universe of -- the universe of what I reviewed was in the -- is in the references, but I also read the interrogatories where I learned that there were actually individuals who were in Oceana who had tested positive for CoV-2.

MR. MILLER: All right. Let's go ahead and pull up Dr. Moye's report.
(Exhibit No. 2 was identified.)

## BY MR. MILLER:

Q. Before we get into the -- the nuts and bolts of the report, Dr. Moye, did you speak with any of the representatives from Oceana, not the lawyers but the owners of the restaurant?
A. This is -- I'm sorry. Ask me the -- the first part of your question again.
Q. Sure. Did you speak with any of the owners -- have you ever spoken with any of the owners from the restaurant?
A. No, I have not. I did listen to the deposition of one of them last week. I think it was last Wednesday. I don't remember for sure, but I haven't directly spoken with any of them.
Q. And in preparing your report, which was
clearly before the deposition last week, you did not speak with any of the -- any representative, other than the lawyers, in preparing your report?
A. No, sir, I did not.
Q. Okay. I know you were provided with the interrogatory responses. Was that before you drafted your report or after?
A. I would say early in the process of me working on the report.
Q. Okay. Were you provided with anything else?
A. Well, I was provided with the arguments for summary motion made by all parties.
Q. Summary judgment?
A. I beg you pardon. I'm -- I'm not using the right word. Thank you.
Q. Did that --
A. Go ahead.
Q. How did that influence your report at all?
A. Well, I don't think it influenced my report. It just gave me a more complete understanding of the issues before the Court.
Q. Okay. Anything else?
A. I was provided a map, which actually is in the report, of the location of Oceana Grill in

New Orleans.
Q. Anything else?
A. I don't think so. They may have provided an article or -- one or two articles for me, but most of the articles I identified on my own.
Q. Okay. Now you've never taught a chemistry course before, have you?
A. Have I ever taught a chemistry course? I've never formally taught a chemistry course. I've certainly tutored many, many students in chemistry, but I've never formally taught a chemistry course, no.
Q. And -- and you've never taught a physics course, have you?
A. That would be the same answer. No, but I have tutored many, many students in physics. So I --
Q. And I know I asked you --
A. I just tutored a student in physics about two months before I heard about this case.
Q. I know I asked you earlier and you said you didn't consider yourself a physicist. You also wouldn't consider yourself a chemist -- do you?
A. I would -- I would answer this way. I certainly do not hold myself out as an expert in

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physics or an expert in chemistry. I certainly don't do that, however, I have studied physics and chemistry for years, taken exams in physics and chemistry, use physics and chemistry as the basis of my understanding of the human body. So I would say that, while I am not a -- I'm not an expert, I understand it.
Q. What specific discipline are you being offered as an expert in in this case?
A. Well, I can tell you what I think I'm being offered as an expert in. And I'm being offered as an expert in medicine, in epidemiology. Biostatistics, I'm not -- I don't really see plays a role here, but my -- and my background in chemistry and physics.
Q. Well, now if you're saying you're being offered as an expert in epidemiology, when I asked you about your report, you made clear to me that your report had no basis in epidemiology.
A. Actually --

MR. ALVENDIA: Objection --- objection to form.

THE WITNESS: Actually --
MR. ALVENDIA: Mischaracterization of his testimony.

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Q. Okay. I understand where we are. Okay. Let's take a look down at No. 6 of your report. It discusses research experience, and two-thirds down in No. 6, you indicate that -- and we've talked about this, quote, [As read]: 'I supervised a collection of adverse events that were reported to both sponsor -- to the sponsor and to the F -- the Federal Food and Drug Administration, FDA."

Do you see that?
A. Yes, sir.
Q. That FDA work is what we discussed earlier where you were -- and just refresh me. I don't want to mischaracterize what you did.
A. Okay. So this is when I was at -- in my work as a member of the Data Coordinating Center.

## Q. Right.

A. We collect safety information and per regulations report that safety information to the sponsor of the study, which may be private or it may be NIH, and also the FDA.
Q. All right. In a calendar year, when you were doing that work, how much time did you spend doing this work for the FDA?
A. Okay. Just so I can be clear. I -- I am
working at the Data Coordinating Center meeting my responsibility to the FDA (inaudible).
Q. Uh-huh.
A. Okay. So now your question to me is what?
Q. Well, how much of that work specifically related to any reporting to the FDA?
A. Oh. Maybe 15 percent of my time.
Q. In a calendar year?
A. Just an -- just an approximation, yes, 15 percent, 20 percent.
Q. Were you given a per -- per diem for that work?
A. No.
Q. That -- that was part of your salary with what organization?
A. Yeah. So that was part of my salary with the University of Texas.
Q. Okay.
A. However, I -- however, when I receive a large grant, the -- the organization paying for the grant gives money to the University of Texas to pay my salary. So it's all salary support, but the University gets money from the paying --
Q. Organization.
A. Organization, correct.

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A. Well, let's see. No. There is a -- I
think the first one -- I actually can't recognize it from this, from the abbreviations, but I think the first one was a drug company on drug company issue. I think it was a patent issue, so both were drug companies.
Q. Okay. So what --
A. But the others were --
Q. Let me ask you, what type of opinion were you asked to render in the case entitled Eli Lilly and Company versus ICOS Corporation?
A. Well, if that's the -- the case I remember, it was a case involving patents, and I was asked to review the content and the data analysis of a particular patent and render an opinion. I don't remember the details about it.
Q. All right. But it was a data analysis engagement?
A. Yes, sir.
Q. Okay. And the -- the next case, Abbott Laboratories case?
A. Yes.
Q. Do you recall the type of opinion you were asked to give?
A. I believe that's an Actos, the antidiabetic

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drug Actos and the relationship with bladder cancer.
Q. And did you represent the plaintiff there?
A. Yes.
Q. And the next one is products liability litigation?
A. Yes. Oh, that was Mirena, a IUD, and pseudo -- pseudotumor cerebri, which is a form of intercranial hypertension.
Q. What type of opinion were you asked to render?
A. Oh, I was -- both in Abbott and in Mirena, I was asked the epidemiology question, is -- is there a relationship between the exposure, in this case the medicine, and the disease? One case it was bladder cancer, the other court case it was a pseudotumor cerebri. Was it an association or was it causation? And I reasoned in each time it was causation.
Q. Got it. What about the next case, Daniels-Feasel versus Forest Pharmaceuticals, Inc.?
A. I have been trying so hard the past five minutes to remember this one, and I don't. I'm sorry.
Q. Okay. That's fine.
A. If my memory gets refreshed later on, I'll
let you know. But right now, I don't.
Q. What's this Pradaxa, October 18, 2019 ?
A. Oh, Pradaxa. That was a -- yeah, sorry -that was a -- I'm sorry for the incomplete descriptor.

But that was a case we were looking at the anticoagulation effect of Pradaxa and its relationship to cardiovascular (inaudible).
Q. And you represented the plaintiff there?
A. Yes.
Q. And I apologize for butchering that word.

And lastly this -- the Johnson and Johnson case?
A. Yes, sir. That involves the relationship between Motrin, ibuprofen, and Stevens-Johnson Syndrome; and I concluded that there was a causal association between Motrin and SJS.
Q. Is there any specific reason why you only listed -- list the last four years of cases?
A. Well, that's what I thought -- well, okay. I guess a couple of reasons. One is that's what I thought the -- the custom and culture were, and also I'm not sure I could remember all the cases prior to the past four years.
Q. Okay. All right. Have you worked
previously for any of the lawyers that are involved in this case?
A. The only lawyer I worked with before was Dave Matthews, who I think I mentioned earlier. But not with -- I have not worked with anybody from the Houghtaling group or Rico.
Q. Okay. In preparing your report, did you ask for any information that was not provided to you?
A. Well, I did not -- I don't think I asked the attorneys for any additional information. I don't think I did. I used my own resources to find what manuscripts I could. I may have asked the attorneys one time to direct me to the location in the interrogatories that discussed the number of people who entered the Oceana facilities with $\mathrm{CoV}-2$, but I -- I -- as I sit here, that's all I remember.
Q. Okay. Let's talk about paragraph 20. Now, you used the word or the phrase "environmental impact" a few times throughout the report, or the word "environment."

Could you tell me within the context of this report, what environment are you talking about?
A. Sure. I'm talking about -- specifically, I'm talking about air and surfaces. The atmosphere
and solid surfaces within Oceana.
Q. Okay. And, again, in the next sentence you referenced -- you referenced the things that you relied upon in coming to your conclusion.
A. Where are we in my report?
Q. Second -- second sentence in paragraph 20. It says, 'To draw my conclusions, I relied upon my training in medicine, my experience seeing and treating physicians, my advanced training in epidemiology and biostatistics, my public health experience, and my clinical research experience as applied to my review of the literature."
A. Yes.
Q. Do you see that?
A. Yes.
Q. Okay. And not to quibble with words, but at the time you started drafting your report, you hadn't reviewed any -- at the time you came to your conclusion, you hadn't reviewed any literature, right?
A. At the time I came to my conclusion?

MR. ALVENDIA: Objection.

## BY MR. MILLER:

Q. Yeah. Yeah. December 10th to

December 17th, when you had your telephone
conference with Mr. Houghtaling and Mr. Alvendia, you communicated to them your conclusion about whether or not CoV-2 created a physical transformation loss of use and therefore damage?
A. Correct.

MR. ALVENDIA: Wait. Wait.
Objection to form; asked and answered.
Now, he's -- he's said what -- what he relied upon in his conclusion was everything he just said and his years of experience, and I'm trying to understand -objection to form. MR. MILLER: Okay.

## BY MR. MILLER:

Q. So, Doctor, let me get back to paragraph 20 in the report. You relied upon all of your experience "and my clinical research expertise as applied to my review of the literature" to draw your conclusion.

That last sentence, "as applied to my review of the literature," you had yet to review the literature when you came to your conclusion?
A. I will -- I would say a couple of things about that. I had not reviewed any of the literature on -- on SARS-CoV-2, and it's -- in

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relation to Oceana, I had not reviewed any of the literature.

However, before they asked me the question, I did understand SARS-CoV-2, because I had read a lot of literature up to then. I also rely on my experience in the physics and organic chemistry, which I should have said here but I did not.

But all of that was in play, all of that was my background --

## Q. And --

A. -- when the question was posed to me about damage.
Q. And, in fact, you really did not need to read literature specific to $\mathrm{CoV}-\mathrm{CoV}-2$ to formulate your conclusion. You could have read literature related to generally any virus, even the flu. I think we talked about that earlier.
A. I would -- I would say that --

MR. ALVENDIA: Wait. Wait. Wait. Let me object.
Objection to form.
And, Doctor -- you can answer the question, Doctor.
A. I -- I would say this: To some extent, viruses are viruses. They have common

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characteristics across the -- well, the billions of different species, they have common characteristics, so yes.

However, there are individual characteristics that have to be taken into account, and one of them is how long the virus survives outside the body. Now, that answer may be different for some viruses than other viruses. It turns out it is, not by very much.
BY MR. MILLER:
Q. Okay. So -- and just generally speaking, unrelated to your report, and I know you've done extensive research, so I'm asking for -- really for my own edification.

What is -- is the durability of COVID-2 in comparison to the durability of what we affectionately call the flu?
A. Okay. Well, the -- the durability of COVID-2 is a little longer than the durability of the flu -- a little longer.
Q. Okay. A little by -- by what number?
A. By days. By days. A little longer. It depends on the -- the experiments that look at the durability of the flu versus the experiments with $\mathrm{CoV}-2$ are different in design. That could explain

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some of the difference. There has certainly been a lot more attention given, of course, to the pandemic causing CoV-2 than there has been to the flu, but in general it's a little longer.
Q. Okay. But putting -- putting durability aside, exposure to a physical substance, all things created equal, meaning temperature, humidity, all things created equal and the initial exposure by both viruses creates physical change that results in physical loss of use and then damage?
A. We're talking about H1N -- I'm sorry. We're talking about the flu virus and --
Q. Versus --
A. COVID-2.
Q. Yes, sir.
A. Right. Right. Essentially damage is both in -- both make physical transformations -- how deep the physical transformation is in the substance depends on the size of virus. The virus is of different sizes, then the extent of the damage will be different.
Q. Got it. Okay. All right. Let's get back to your report. The last sentence of paragraph 20, and the words are in quotes -- I'll just read the entire sentence. 'Determining whether SARS-CoV-2

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impacts its surrounding environments, meaning air and surface, is an exercise in critical thinking using the weight of the evidence approach."

All right. And you have that in quotes, to me, meaning it is a term of art?
A. Fair.
Q. All right. So tell me exactly what the weight of the evidence approach is from your perspective?
A. Actually, I was just going to read paragraph 21 back to you. That's what it is.
Q. All right.
A. Okay. You --
Q. Go ahead and read it to me.
A. Go ahead and read it to you or not?
Q. Yes, sir.
A. Okay. "The weight of the evidence approach is the process by which a body of evidence is examined piece by piece, each component being sifted and assessed using a transparent and standard methodology. In this case, the goal is to assess the relationship between SARS-CoV-2 and its environment."
Q. All right. The body of evidence that we're talking about here are the things that we kind of

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generally outlined earlier, but we're going to see in more detail if all of the factors you considered in your -- in your, quote, 'weight of the evidence," which would include all of the -- the articles that you reviewed and your experience and so forth?
A. Yes.
Q. Okay. Is there any evidence that was weighed to come to your conclusion in formulating this report that is not evident, other than the articles we talked about earlier?

I know you said since you've drafted the report you've reviewed a couple of articles. I'm not talking about those.
A. All right. I understand.
Q. I just want to confirm that the weight of the evidence to support your conclusions is within the corners of this document?

MR. ALVENDIA: Now, objection to form.
Once again, he did testify earlier, Allen, that there are several articles that's he's done research on that he relies upon and will rely upon at trial.
A. I would agree with you with the -- we need to be sure that we include physics and organic chemistry.

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BY MR. MILLER:
Q. Of course. Yeah, because without those there's no damage?
A. Right, correct. Correct, yes.
Q. All right. In paragraph 22 you indicate that you identify -- you identify the collection -a collection of peer reviewed published papers reflecting the universe of useful information about the state of the relationship between SARS-CoV-2 and its environment.

When you say the universe of useful information, you're not contending that the articles that you have relied upon in your report are the only articles related to SARS-CoV-2?
A. No, I'm not. But I am rejecting that, for example, what's happened with CARS -- SARS-CoV-2 research is that main -- in the rush to get information out there about this virus, there are papers that are not peer reviewed that are now appearing in literature, and I had to be careful to make sure not to include those.
Q. Okay. Is there any specific papers that you've read, because clearly this insinuates that you read some papers that were not peer reviewed, that you specifically excluded from your analysis?

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merits. It is rare -- it is the rare manuscript that provides contributions in all aspects of SARS-CoV-2/environment relationship.'

Tell me what you're telling me right there.
A. Oh, I wrote that so eloquently too.
Q. I don't disagree.
A. Here we go. Here we go. Okay. It is one of the biggest mistakes in research in general, medicine in particular, to be persuade by the results of a study without absorbing the methodology, because results can be very misleading and one has no idea whether they are being misled or not, unless they understand the methodology.

The methodology is the prism to which we under -- review results.
Q. Okay.
A. Some of the biggest embarrassments in medicine have been findings that were not based on solid methodology, but were attractive and the field ran with them.

This paragraph right here actually is the -- it is or should be or better be the creed of epidemiology. You have to understand the methodology before you can draw a conclusion.
Q. That makes sense.

but caught up in laws of physics and laws of organic -- organic chemistry, and -- and air movement -- and, of course, air movement.
Q. What is the difference between RNA and DNA?
A. Sure. They are not nucleic acids. They are essential for the reproduction of cells. Classically, and this has changed the past couple of years, but classically DNA is called
deoxyribonucleic acid. DNA contains the content of all of our genetic information. Every cell has its own copy of DNA. It is tightly coiled. It's in the nucleus.

I'm going to try to do this from memory, but I think if you took, Mr. Phelps, one of your cells or one of my cells and took the DNA out and unraveled it, it would be five feet long. That's how tightly coiled it is. That reproduces itself as the cell reproduces, and so that's -- that's what DNA is.
Q. Okay.
A. And the information -- the information in the DNA has to be turned into something, right? It's got to be used. So we make -- you and I make proteins. We make polysaccharides. We make hormones, enzymes. That information is in the DNA.

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It has to get out.
I'll trying to keep it short. RNA helps to get the information out where that information can be converted to the molecules you and I need to live. That doesn't help, I'll try it another way.
Q. No, that's fine.

Does a virus contain both RNA and DNA?
A. To my knowledge, no. But I -- I will tell you, viruses surprise us, so sure as -- and there are, as I said, hundreds and thousands and millions of species, so maybe there's one that does. But by and large, they have only DNA or only RNA.
Q. Okay. What does CoV-2 have?
A. CoV-2 is RNA virus. Probably one of the largest RNA viruses known.

MR. MILLER: Does anybody need to take a break?

THE WITNESS: Let's see. We've been going for 55 minutes --

MR. MILLER: About an hour. THE WITNESS: -- or so. Yeah, I'll take a break for five.

MR. MILLER: All right. Let's take five minutes.
(Recess taken.)

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BY MR. MILLER:
Q. So, Doctor, do you have a hard copy of your report in front of you?
A. I can get an electronic copy in front of me, yes.
Q. The only reason I ask is because, as I go through the remainder of the report, I'm going to talk about some of your findings, and I'm also going to reference the footnotes and the articles you use as support. And so those are found at the end. It would just be easier if you had a copy in front of you.
A. Okay. Just one second. It won't take long.
Q. And, Doctor, if you would just go to the references at the end of your report, then we can keep on the screen the actual paragraph that we're talking about.
A. So I have -- so I have an electronic version of my report right here, and I am in the references.
Q. All right. So that's -- paragraph 33 of the report says SARS-CoV-2 virus is $\mathbf{1 2 5}$ nanometers in diameter.
A. Yes.

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## Q. Where does that -- where does that information come from?

A. Actually, there are multiple sources. I kind of thought that was just common knowledge and didn't need to provide that, but the -- it's a -it's a virus that ranges in size from 60 to 120,125 nanometers.
Q. Okay. So it's not a virus is $\mathbf{1 2 5}$ nanometers; it varies in size?
A. Yes, sir. Every species of virus has a different size range.
Q. Okay.
A. The size range for SARS-CoV-2 is 60 to 125 .
Q. Take a look at paragraph 35. Paragraph 35 generally talks about the effect of COVID-19 infection. What are -- what is the purpose of this with respect to your report?
A. I'm just providing the signs -- for the -for the Court, I'm providing the signs and symptoms of COVID-19. Why is it a disease? Why are we so concerned about it? So I'm just providing this really as background.
Q. Okay. Let's look at paragraph 37. Why is this necessary for your report?
A. Well, this is necessary because it
demonstrates, number one, the seriousness -- how serious the elected officials, the governor and -of Louisiana, the mayor of New Orleans -- of New Orleans took the problem, took the COVID-19 infection, number one; how they were beginning to track the COVID-19 infection, the COVID-19 illness, number two; and then with the driving force behind the decision to close the bars and restaurants, to go through this closure and period of staged-to-phase openings.
Q. Paragraph 39 references the March 16th, 2020, proclamation from the mayor. And you quoted in your report, and it reads, "There's reason to believe that COVID-19 may be spread amongst the population by various means of exposure, including a propensity to spread person to person and a propensity to attach to surfaces for prolonged periods of time, thereby spreading from surface to person and causing property loss and damage in certain circumstances."

How did this proclamation by Mayor Cantrell affect your opinion?
A. Well, this proclamation -- it affected my opinion in the following way. Number one, that COVID-19 was -- was a disease that was becoming Page 146
increasingly prevalent given it's propensity to spread. Secondly, she described to a T the epidemiologic point of view about how it spread person to person and also surface to person.
Q. What about the -- the phrase "and causing property loss and damage in certain circumstances"?
A. Let me just find that. Oh, yeah, "causing property loss and damage."

That really didn't affect my opinion. It's for me to determine, based on science, whether there's loss and damage. I respect her point of view, but it really is -- I mean, my understanding of my role in this case is not to be influenced by what her perspective is, but to generate my own perspective.
Q. Turn to the very last person of -- last page of his report, the conclusions.

Conclusion E -- and we'll come back to the rest of it, but $I$ just want to talk about this since we're on this topic. "It is more likely than not that the mayor and governor's reason that SARS-CoV-2 attach to surfaces, contaminate surfaces, and causes property loss and damage was scientifically supported."

Do you see that?

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MR. MILLER: Okay.
MR. ALVENDIA: Allen, are we waiting on something?

MR. MILLER: Yeah, my thought process.
MR. ALVENDIA: Okay. All right. I'm
sorry. I thought you were waiting for her
to get back on.
MR. MILLER: Let's look at
paragraph 44.
BY MR. MILLER:
Q. And generally you talk about some of the orders. The last sentence states, "The mayor stated that restrictions were particularly aimed at Bourbon Street and other areas of the city where alcohol-fueled gatherings have," quote, '"gotten out of control,"' closed quote.

We -- I think we established earlier that
Oceana Grill is on Conti, but it's close -- it's in the French Quarter, right, and -- and relatively close to Bourbon Street?
A. Yes, sir.
Q. You're aware of that?
A. I am, sir.
Q. Are you aware --

MR. ALVENDIA: And actually, Allen,
objection to form. Just -- just so we know here, it's on the corner of Conti --

MR. MILLER: On the corner, yeah.
MR. ALVENDIA: Touches it, right.
It's on Bourbon. But go ahead.
MR. MILLER: I don't spend much time on Bourbon, so I don't know. But I -- I'll take your word for it.

MR. ALVENDIA: Oceana -- and I've been to Oceana before, and I can tell you it's on the corner.

MR. MILLER: All right.
BY MR. MILLER:
Q. Do you know what -- whether or not Oceana followed the mayor's orders?
A. I believe I do.
Q. All right.
A. They followed the phase -- the shutdown and the phased reopening.
Q. Do you know if Oceana was ever in violation of the mayor's orders and had to be reprimanded by the city for failing to file -- failing to follow her phased shutdown orders?
A. I -- I don't know. I -- I imagine
initially, like in Phoenix where I am and other

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cities, there was confusion the first couple of days about when do orders go into effect, who's involved with that, and what should they do. Are they shutting down completely? I imagine there was some confusion about that. Other than that, I don't know.
Q. Okay. Let's look at paragraph 47. Paragraph 47 reads, [As read]: "The Oceana Grill had patrons who had SARS-COVID-2 infections, specifically the owner tested positive for COVID-19. In addition, there were four other instances where individuals with property access reported a positive SARS-COVID-2 positive test. These two were -- these were two office employees, a maintenance worker, and a prospective employee to be -- interviewing for a position. Based on" -- I think that's -- you meant to say, "Based on notices of the -- based notice of the change in property condition from safe to dangerous, portions of Oceana Grill were closed for 24 hours."

Tell me exactly what you meant there, because it looks like a typo.
A. Good point. Let me look at this. This is not the best sentence I ever put together.

Oh, okay. I think it reads -- it should

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read this way, and my apologies because it's my fault it doesn't -- "Based on notice of the change in the property condition from safe to dangerous, portions of Oceana Grill were closed for 24 hours."
Q. Okay. 'The dates of these actions on or about April 3rd, April 10th, June 3rd, June 27th, and August 1, 2020."
A. Right. It should be "The dates of these actions were on or about."
Q. Okay.
A. Sorry.
Q. Now, the change in the property condition from safe to dangerous is the fact that individuals who contracted COVID-19 -- that you were informed that individuals that contracted COVID-19 were in the property?
A. Yes.
Q. The statement 'change in property and condition from safe to dangerous" is not the result of testing of the property condition at Oceana Grill?
A. That is correct.
Q. Now, these -- the areas of Oceana Grill were closed for 24 hours. Do you know what Oceana did for -- during those $\mathbf{2 4}$ hours to the property

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## condition?

A. I would have to check later in my report, because I do talk about remediation. I mean -excuse me -- cleaning and disinfecting later in my report. But I don't remember right now whether they were closed and they went through cleaning or not. I just don't know.

MR. ALVENDIA: And, Allen, do me a favor -- look, could we break this down into time phases or time periods? Because clearly, in the different phases -- I'm just trying figure out a way here to -to --

MR. MILLER: I'm just reading his report.

MR. ALVENDIA: The problem with it -the problem with it is it's a compound question though. I mean, you're asking him what they did in response to a dangerous condition. I just want to be sure we're talking about a time frame, because they went through different restrictions at different times.

MR. MILLER: Okay. Well, his report says that "Based upon the change in

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Q. Okay. That's fair, because they could have had -- if it was in a complete shutdown --
A. Right.
Q. Right. Okay. June 3rd, we weren't in a complete shutdown in June 3rd. We were at least in Phase 1?
A. Okay.
Q. They would have closed -- the -- your understanding from what -- what you were told and thus transferred into your report was that they closed a section of the restaurant for -- for 24 hours on June 3rd after being notified that someone had contracted COVID-19?
A. Yes.
Q. Had been in the building?
A. Yes.
Q. Same for June 27th, and same for August 1st?
A. Yes.
Q. Okay. And you would expect that on these dates, June 3rd, April 10th, April 3rd, June 27th, and August 1, that during the 24 -hour period where this area was closed, that Oceana Grill followed the CDC guidelines and disinfected the area where this person had been?

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paragraph 47, and correct me if I'm wrong, that someone -- you were informed that someone notified Oceana on April 3rd that they had contracted COVID-19 and had been in the facility, and on April 3rd Oceana closed the area where that individual was for $\mathbf{2 4}$ hours?
A. Yeah. On or about April 3rd, yes.
Q. Right. And on or about April 10th, Oceana was notified that an individual that had been in the facility was present, and they closed that area where the individual was for a total of $\mathbf{2 4}$ hours?
A. Yes.
Q. On or about June 3rd, Oceana was notified that an individual that had contracted COVID-19 had been in the facility, and on June 3rd they closed the facility for $\mathbf{2 4}$ hours?
A. Yes.
Q. And in each instance after the $\mathbf{2 4}$ hours, it's your understanding that they reopened that area of the building?
A. The degree to which they reopened that really depends on what phase the -- what phase of reopening or what stage of reopening they were in. But there was some resumption of activity after the 24 hours.

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A. I mean, I would like to think that. But sitting here now, I can't say that I know that that's the fact.
Q. Okay. But you -- you would hope that's the case?
A. I would hope, yes.
Q. All right. And the next paragraph talks about the number -- where did you get this information that's contained in paragraph 49?
A. Actually, I'm trying to remember. I don't
recall. I mean, it's not -- it's not in any
published literature. That may also have come from
the interrogatory.
Q. All right. But you don't give any cite in your report, so --
A. Correct.
Q. -- do you know? Do you know whether -- do you know for sure where this information came from?
A. I don't know for sure, but I -- I believe it was from the interrogatory.
Q. Okay. Let's look at paragraph 50.
[As read]: "Given the established infected -infectivity of SARS-COVID-2 and the ongoing customer and patronage level of Oceana Grill, the degree of environment exposure, air and surface at the
restaurant rose to dangerous levels."
How do you know that?
A. I know that because patients came to CO -excuse me.

Infected patients came to -- patrons -infected patrons came to Oceana Grill.
Q. Okay.
A. Infected patrons sneeze, infected patients cough, infected patients speak and they spew out viruses. They changes the environmental -- that changes the environment to a dangerous level.
Q. Was Oceana Grill, in your opinion, a restaurant that had environmental exposure that rose to a dangerous level on April 5th of 2020?
A. Well, I'd have to look back to April -well, I can't. But April 5th is one of the days?
Q. April 3rd is one of the days where an individual was infected.
A. Okay.
Q. That was in -- that had COVID-19 that came into the building.
A. Okay. I would -- I would say this. Okay. I don't know where that individual was in the building, but where he was, his presence changed the environment to dangerous.

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Q. Even after -- let's assume for sake of this conversation that Oceana Grill applied all CDC recommended disinfectants on April 3rd once they learned of this individual's presence in the building. Okay?
A. Okay. So -- so help me with the sequence now. I can answer your question. I need to know -make sure I know the sequence.

A patient -- I mean, the subject comes in, they are infected. Okay. Now -- now, tell me what happens.
Q. Oceana Grill sections off the portion of the building where that individual was present.
A. Okay.
Q. And performs all disinfectants recommended by the Center for Disease Control for -- in that area.
A. Okay.
Q. On that -- on the -- on that day and the following day, April 3rd through April 4th. It is now April 5th.
A. Yes.
Q. Does the restaurant still have a degree of environmental exposure at the restaurant that is considered a dangerous level?
A. I believe it does, yes.
Q. Okay. Despite the fact that Oceana Grill -- despite the fact that they disinfected the restaurant once they had knowledge in -- in accordance with CDC guidelines?
A. I --

MR. ALVENDIA: And you're asking -again, your question -- your question, Allen, for the legal standard for this expert is within a medical degree of certainty more likely than not, correct? That's -- that's what you're asking him.

MR. MILLER: No, that is not what I'm asking him.

MR. ALVENDIA: Well, then ask --
MR. MILLER: I asked him --
MR. ALVENDIA: If you ask --
MR. MILLER: You can -- you can argue that to the judge about whether or not his answers fit within the legal standard, but I'm not worried about the legal standard.

MR. ALVENDIA: Objection --
MR. MILLER: I want to ask him questions.

MR. ALVENDIA: Objection. If you're

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-- objection to form. It's an improper question.

MR. MILLER: All right. And then you can -- you can have that question stricken when we get to trial.

MR. ALVENDIA: You can move on.
MR. MILLER: All right. Can we go back to my last question and the Doctor's answer, Madam Court Reporter --

THE REPORTER: Yes, sir.
MR. MILLER: -- so that I can finish this line of questioning?
(Record read back by reporter.)
A. So my answer is pending.

BY MR. MILLER:
Q. Yes, sir.
A. Okay. My answer is, yes. And it is no disparagement of the cleaners. It's no disparagement of the CDC. It's just that if their guidelines are not sufficient to get rid of all of the virus. So the area is still infected, so that's why my answer is yes.
Q. And your answer would be the same if I gave you the same scenario for April 10th, June 3rd, June 27th, and August 1st, which are all instances
in your report that you became aware that individuals with COVID-19 were present in the Oceana Grill restaurant?
A. Yes.
Q. Okay. You go on to say, ''The restaurant's environment was transformed into a" --
A. Deleterious.
Q. -- 'deleterious condition as the virus physically transformed the air and the restaurant contents from one of safety to one of infectivity and illness."

Tell me what that means.
A. It means that the presence of the virus in the air of the restaurant and on the surfaces of the restaurant make this location unsafe, because people can get infected and ill from it.
Q. Okay. "This transformation changes the structure of the surface of the restaurant contents by a process predicated by physical law."

What does that mean?
A. "By a process predicted."
Q. Predicted. I'm sorry, predicted.
A. Right. Right. I mean, the transformation takes place in the restaurant contents by organic -by chemical processes that are -- that are conducted

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by well-established physical law.
Q. So that's chemistry and physics that we're talking about?
A. Yes, sir. You have principally chemistry, yes.
Q. Now, "The change in the structure is the damage, so the transformation more likely than not leads to physical damage."

Why more likely than not?
A. Well, because the transformation is damaging. The transformation happens. That transformation is the damage. So given the transformation happens, there is damage.
Q. So then why more likely than not?
A. Well, because, I mean, more likely than not is a weak -- it's a weak metric here. I'm saying it's certain.
Q. Right. So why -- if you're certain and you say it's certain that a transformation happens and that's damage, why then in your report do you indicate the transformation more likely than not leads to physical damage?
A. Because --

MR. ALVENDIA: Objection -- wait.
Wait, wait.

Objection. He's already answered that question. More likely than not is the legal terminology requirement. "The minimum" is what he said, and that's true. Minimal standard -- the burden of proof in a civil case is more likely than not. He's saying it's much more beyond that. He's already answered this question. Objection.

MR. MILLER: Thank you.

## BY MR. MILLER:

Q. You can answer my question, Doctor.
A. Well, I mean, it's the same thing. It's certain, therefore it most certainly is more likely than not.
Q. So were you instructed to include more likely than not into your report by the lawyers?
A. I was simply instructed to -- I -- I was instructed a number of times to make sure I understood what the legal standard was.

Now, I exceed -- so I exceeded the legal standard, in my estimation, using science and facts as I know how to use them. But I wanted to also be sure that the language was consistent, as long -- as long as it was consistent with my scientific opinion, I wanted to also be sure that it was
consistent with a legal interpretation.
As long as there was no conflict, I have no problem using more likely than not. But I think we've exceeded that.
Q. All right. So I get it, the lawyers authored this last statement to include the words 'more likely than not"?
A. Sir --

MR. ALVENDIA: Objection.
A. -- the only person who authored this is me. MR. ALVENDIA: Objection to form.
A. They're the only -- I'm the only one. Nobody touched a single letter on this report than me. BY MR. MILLER:
Q. Okay. Now --
A. They've asked me questions about it, we've debated about it, but I am the author. I chose to put in more likely than not, even though, in fact, it weakens my argument. But I have no problem using it, because it's consistent with my argument.
Q. Okay. Paragraph 51 talks about how the virus is spread -- the virus is primarily spread through the air. And the first sentence reads, "The airborne dissemination of the virus was" -- yeah,
by -- what's this gentleman's name, or person's name?
A. Buonanno.
Q. Buonanno. Were you familiar with Buonanno before you did this research?
A. No, sir.
Q. Okay. If you look on -- at your references, you utilize Buonanno for this scientific data, right?
A. For this study, yes.
Q. Why?
A. Because I believe that the methodology that they used was sound, and I believed -- and I understood what their conclusions were and that therefore it's -- and -- and it provides data that supports my hypothesis that this virus does spread.
Q. Okay. In paragraph 50 -- you don't have a reference for the analysis that you use in paragraph 50.

MR. MILLER: Could you scroll back up
a little bit, Kevin?
BY MR. MILLER:
Q. Paragraph 51, you've got some data from Buonanno.
A. Yes, correct.

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Q. Paragraph 50, you don't have any reference for the number of statements where you talk about the physical change in the restaurant condition.
A. So let me say a couple of things about that. The ref -- no. First of all, I agree. I have got no reference number, no question about it.
Q. Okay.
A. Okay. However, a predicate for the first sentence is the previous discussion, level of environment -- "level of environmental exposure, air and surface rose to dangerous levels." That's previous discussion.
"The restaurant's environment was transformed into a harmful condition as the virus physically transformed the air and the restaurant contents from one of safety to one of infectivity and illness."

To me, at this point, that's self-evident.
Q. Self-evident, so -- so do you have any other peer-reviewed article that supports the statement that "A restaurant was transformed into deleterious condition as the virus physically transformed the air and the restaurant's contents from one of safety to one of infectious and illness'?

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Q. Let's stay right there. This next sentence in paragraph 50, [As read]: 'This transformation changes the structure of the surface of the restaurant contents by a process predicated by physical law."
A. Right.
Q. You don't have any citations there either.
A. Oh, that's -- actually that's coming. I just pre-stage what I was going to get to, but that's coming.
Q. Oh, it's coming from whom?
A. I mean -- I beg your pardon. That appears -- the justification appears later in the report. That's what I mean.
Q. Oh, your -- your physics -- your physics versus -- your physics and chemistry analysis?
A. Organic chemistry, yes.
Q. Okay. But you don't have any peer-reviewed article sources that would support that statement?
A. Right. "By a process predicted by physical law"? Is that the statement you're concerned about?
Q. The transformation that you're describing. That -- this trans -- the -- that 'the virus physically transformed the air and the restaurant contents."

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| :---: | :---: | :---: | :---: |
| 1 | The virus physically transformed the |  | the way you do in the contents of this report? |
| 2 | restaurant contents? | 2 | A. I have no idea. |
| 3 | A. Yes. | 3 | Q. Okay. You haven't seen that anywhere else? |
| 4 | Q. Point me in a direction of one person, |  | A. I don't know if I haven't seen it anywhere |
| 5 | other than yourself, that says the virus physically |  | else, but when I'm asked what damage is, this is my |
| 6 | transforms the restaurant's contents. | 6 | definition. |
| 7 | A. Well, I will point to you several places. | 7 | Q. Okay. |
| 8 | I will point you to text in virology. I will point | 8 | A. Now -- now, over the years maybe I have |
| 9 | you to text in organic chemistry. | 9 | absorbed an understanding of damage from different |
| 10 | Q. Okay. That are peer-reviewed -- why don't | 10 | people, that's likely. That is -- forgive me -- |
| 11 | you cite those in your -- why don't you cite those | 11 | more likely than not, but this is my definition of |
| 12 | in your report? | 12 | damage. |
| 13 | A. I mean, I'm happy to, but those are very | 13 | Q. Okay. Paragraph 53 talks about the risk of |
| 14 | basic chemistry books, very basic virology books. I | 14 | infection in ventilated areas. |
| 15 | mean, I'm happy to do that, but it's -- perhaps not | 15 | A. Yes. |
| ${ }^{16}$ | self-evident to everybody, but this is what physical | 16 | Q. Can you describe to me the ventilation |
| 17 | law is. | 17 | system that's in Oceana? |
| 18 | Q. Okay. The last sentence, 'The change in | 18 | A. I cannot. |
| 19 | the structure is the damage, so the transformation | 19 | Q. You have no idea what type of HVAC system |
| 20 | more likely than not leads to physical damage." | 20 | that they utilize when patrons are present? |
| 21 | Point to me one individual, one article, | 21 | A. I don't know what that would be. |
| 22 | one report, one peer-reviewed article, even a paper, | 22 | Q. Do you have any idea of how they aerate the |
| 23 | where somebody says that the change in the structure | 23 | building, whether they leave doors and windows open |
| ${ }^{24}$ | is the damage, so the transformation more likely | ${ }^{24}$ | during this COVID situation that we've been going |
| 25 | than not leads to physical damage -- | 25 | through? |
|  | Page 170 |  | Page 172 |
|  | A. Well, first of all. | 1 | A. Well, having been in New Orleans, I hope |
| 2 | Q. -- because COVID-19 is on a surface? | 2 | they don't open doors and windows. But I would say |
| 3 | A. They're my words and not anybody else's | 3 | beyond that, I don't know. |
| ${ }^{4}$ | words. |  | Q. Okay. When determining whether or not the |
| 5 | Q. Okay. | 5 | restaurant's environment is transformed, would the |
| 6 | A. All right. So I defined -- clearly in this | 6 | ventilation have any bearing upon your analysis as |
| 7 | report I define damage as change in the structure. | 7 | to whether or not there's property damage? |
| 8 | I defined it that way. | 8 | A. Ask me that question again, please. |
| ${ }^{9}$ | Q. Anyone -- does anyone else -- | ${ }^{9}$ | Q. Sure. In determining whether or not the |
| 10 | A. My definition -- | 10 | inside of Oceana Grill has been transformed into a |
| 11 | Q. I'm sorry. Go ahead. | 11 | dangerous condition or a dangerous environment, |
| 12 | A. My definition -- my definition, and I stand | 12 | would the type of ventilation system affect your |
| 13 | by that definition. | 13 | opinion at all? |
| 14 | Q. Okay. | 14 | A. I think it would. |
| 15 | A. Now, if -- now -- okay. So the change in | 15 | Q. Okay. But you don't know what the |
| 16 | the structure is produced by physical law, so | 16 | ventilation system is at the restaurant? |
| 17 | therefore the transformation is more -- it's -- the | 17 | A. Right. And just to be clear, I'm not |
| 18 | transformation -- it's total logic. | 18 | saying I would reverse my conclusion. I think it |
| 19 | The transformation leads to physical | 19 | would be impacted by the kind of ventilation. |
| 20 | damage. The transformation is the physical damage. | 20 | Q. Okay. In paragraph 54 you talk about -- |
|  | And the transformation occurs by physical law. And | 21 | you say in the second sentence, [As read]: "So the |
| 22 23 | that physical law is based in the fundamental tenet | 22 | virus is spread by other means as well. And, for |
| 23 | of organic chemistry. | 23 | example, highly sensitive laser light scattering |
| 24 25 | Q. Can you point me to one article, peer-reviewed or not, person, that defines damage | 24 25 | observations have revealed that loud speech can emit thousands of oral fluids." |

A. Droplets.
Q. "Oral fluid droplets per second." And then you cite to number 25. What is that source?
A. I have to see. Well, it's an article. I can't pronounce the last name. Stadnytskyi, I would say. The title, "The airborne lifetime of small speech droplets and their potential importance in SARS-CoV-2 transmission."

Does that answer you question?
Q. And why -- why did you utilize that source?
A. Well, I utilized that source because I thought their methodology was sound, and it provided yet one more vector of spread of the virus.
Q. Okay. Let's look at paragraph 55. This is your analysis with respect to the virus and surfaces. And I'm just going to go down to the surface portion of this paragraph where it says, 'The virus also alters the surface of solid objects," you list a number, 'through the process of molecular cohesion and adhesion."

Tell me what that means.
A. Of course. I'll try to say this in English. Viruses, being very small, are affected by movements of electrons, and movements of electrons determine what -- whether a molecule cleaves to

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something or does not.
Should I go on?
Q. Yes, I'm listening.
A. All right. All right. All right.

Therefore, the virus by it -- by its very size is maneuvered into positions in the surface, and those maneuvers lead to it being attached to other molecules in the surface. In this particular example, I'm talking about wood wax and virus -- not amalgam, but the wood wax virus environment.

## Q. Does the --

A. I should say -- excuse me. I'm sorry.

The use of the word "insinuation." I think one of your experts objected to that. I certainly don't mean to give the virus any intent. I mean it just as I described it. It is maneuvered into this position by forces it doesn't control, physical forces it does not control.
Q. So let's get to that. The next paragraph said, 'This insinuation of virus into and through the surface of solid objects alters the surface to a wood wax virus hybrid that cannot be disassembled, separating the virus from the original source. This is the physical damage to the surface."
A. Okay. Yes.

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Q. Now, the scientific makeup of -- would the scientific makeup of the wax that you reference in here have any bearing on cohesion and adhesion?
A. Well, my understanding, wax is essentially paraffin, and there are different types of waxes. They are by and large all alkane products, all organic products, and organic compounds interact with each other.
Q. Do you know what type of wax Oceana Grill uses on its furniture?
A. I do not.
Q. Okay. When we were talking about this study in paragraph 51 -- I'm sorry. Go back to paragraph 51 -- and you relied upon -- again, I will butcher that name if I tried.
A. Let's just say Buonanno.
Q. Buonanno. And I asked you why, because you said the data would seem to be reliable, for lack of a better --
A. The methodology was reliable.
Q. Methodology was reliable. And he's talking about -- so that study was done in China, correct?
A. Yes.
Q. Okay. And do you think it's fair to compare a restaurant or building in China to a

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restaurant in the United States?
A. Well, I would say this to you -- and just so we're very clear, because we didn't talk about this when the Buonanno study --

Their study results were simulations. You can't do an experiment like this on people. These are simulations, and these are simulations based on modeling. So do I think the Chinese modeling is relevant to U.S. restaurant modeling? Yes, I think so.
Q. Earlier, we talked a little bit about, you know, the RNA that's contained in the CoV-2 virus.

Does RNA on a surface necessarily mean that there's a live virus that can infect someone?
A. Probably not. To infect an individual, the infective particle has to be moved from the surface -- and I assume we're talking about an inanimate surface here.
Q. Yes.
A. -- to basal epithelial; and if we just have raw RNA, raw RNA doesn't get into -- I mean, at least the state of the art virology tells us that raw RNA doesn't get into the cell and cause infection.
Q. Okay. Can an individual contract COVID-19

## from CoV-2 viral fragments?

A. CoV-2 viral fragments, the best I can tell
you, it depends on what type of fragment we're talking about.

If it's only the enveloping coat, if that's being part of the enveloping coat and no RNA, then no, they would not get -- they would not get infected.
Q. And so let me ask this. Does the existence of CoV-2 RNA on the surface necessarily mean that there's a live virus that can infect someone?
A. That's a question I don't know how to answer. I mean, the presence -- certainly, as you pointed out, the raw RNA is not infected. I already said that.

Now, does the presence of raw RNA not indicate that if there's raw RNA that, in fact, there are -- there might be a viable virus nearby? Perhaps it does. Viable viruses, I should say. Perhaps it does.
Q. Okay. Let's go to 58. And the first two sentences of 58 talk about human infection, and we'll skip over that.

The third sentence, it says, [As read]: "When the SARS-CoV-2 virus is driven down by gravity

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and micro air currents to a bar surface, the S1S2 projections adhere to the surface molecules of the bar, attempting the chemistry to infect the bar. However, since infection fails, since there is no membrane for the virus to break through, the virus simply stays adhered to the bar surface."

Do you see that?
A. Yes.
Q. And that is true through and unless the virus is killed on the surface, correct?
A. Okay. If we are assuming that we're working with an absolutely flat and smooth surface, then you're right. In that circumstance, there's no place for the virus -- for the virus to go, except to stay on the surface, and at that point it can be denatured.

You obviously don't kill it because it's alive, right? We denature it. Okay. That is not the reality of surfaces, which is what my report goes into it. In fact -- I'm sorry, go ahead. I'm sorry.
Q. So this -- my scenario doesn't apply to a restaurant table --
A. Correct.
Q. -- in your analysis.
A. In my analysis --
Q. In your analysis, it can't -- what was the word you used? I'm sorry. I said killed; you called it?
A. Denatured, I hope.
Q. Denatured?
A. Right.
Q. So your opinion is that a tabletop cannot be denatured by disinfectants as the CDC says it can be?
A. What I am saying is this -- close.

What I'm saying is this. The tabletop is so irregular that the virus can find itself in nooks and crannies in that table surface, that the cleaning and the disinfectant can't reach.
Q. Okay. So when the CDC says the virus can be killed by using appropriate disinfectant on surfaces, they're wrong?
A. It's making assumptions that are not true.
Q. Okay.
A. So it's misleading. However, it is simply the best that they can do. I'm not here to criticize the CDC. They have a tough job; they do the best they can. But unfortunately, the size of the particles mitigates against them.

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Q. All right. Let's go to -- let's go to paragraph 65. All right.

What does it take to restore the unhealthy environment? And you rely upon the van Doremalen?
A. Yes, sir.
Q. How do you pronounce that?
A. Yes, sir. Just like you did, van Doremalen.
Q. Why did you rely upon this van Doremalen report?
A. I believe that the van Doremalen report used very good methodology.
Q. Okay. And the methodology used in -- all right. Well, let's just -- let's talk about -- you say van Doremalen evaluated the stability of SARS-COVID-2 and SARS-COVID-1 in aerosols and on various surfaces, estimating their decay rates. The research consisted of ten experimental conditions involving two viruses, SARS-CoV-2 and SARS-CoV-1, in five environmental conditions: aerosol, plastic, stainless steel, copper, and cardboard. In fact, the SARS-CoV-2 remained viable in aerosols throughout the duration of our experiment, three hours. And this reduction was similar to that observed with SARS-COVID-1.
A. I don't remember. But, yes, they controlled humidity.

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people who are in the restaurant and infected and sneezing.

You're talking about billions of viruses here. So I think the concentration is perhaps not so irrelevant, and I don't know what the temperature at Oceana is. I don't know what the humidity is. I assume that it's there to make the people comfortable, right?

Were they that different than the environmental conditions here? I'm not sure.
Q. So your testimony is that lab conditions for this study you think are comparable to -- let's just pick a month. Let's pick the height of the pandemic. Let's say the summer, right?

Is there a chance that there's 40 percent humidity in New Orleans during the summer months?
A. Well, I would say this. If you leave the doors and windows open and it's 40 percent humidity, I'm coming. I'm going there.

My experience, the humidity is a lot higher, but as you pointed out or reminded us, I am not a specialist in HVAC. I don't know what the humidity HVAC produces at Oceana. Maybe it produces 40 percent. I just don't know.
Q. Right. And the fact that you don't know

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would render your reliance upon this study under lab conditions irrelevant to the conditions at Oceana?

MR. ALVENDIA: Objection to form.
He's already answered this question.
But, Doctor, you can go ahead and answer it again.
A. I would just say this. I mean, I do debate and continue to debate with you the notion about how much virus is there because I think it's easy to underestimate virus in an environment where there are multiple people spewing it out.

Having said that, having said that, the conclusion that it remained viable in aerosols I think is relevant.

Now, we can debate minutiae in terms of how long it's viable in aerosols, in Oceana versus this experimental condition. But the point is, it's viable in aerosols.

## BY MR. MILLER:

Q. Okay. Let's look at paragraph 67.
A. Okay.
Q. There you're relied upon Chin report, right?
A. Yes.
Q. And similar to the Doremalen report, the

Chin report was done under lab conditions, correct?
A. Yeah. I do have to -- I do have a
criticism of the Chin report in that it is very
brief. If I remember right, it was a
correspondence. It wasn't a full paper. I'm trying
to go by memory here. It wasn't a full paper. So
it's hard to know exactly what was going on in all the detail we would like.
Q. But it too had controlled temperatures and controlled humidity, right?
A. Yes.
Q. And it was also done in lab conditions, correct?
A. Yes.
Q. Do you know what the concentration of the viruses that was used in the Chin report --
A. I don't recall.
Q. Okay. Let's go to paragraph 70. This, again, is another source you rely upon, the Riddle report?
A. Yes, sir.
Q. And similar to others, this was under lab conditions, right?
A. Yes. This is quite a bit more detailed than Chin was.

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## Q. And, in fact, in Riddle, they actually

 maintained the virus in the dark, right?A. Yes, to avoid the effects of UV light. Yes.
Q. Which would do what?
A. UV light is high frequency radiation. It can ionize, which means it can break, break electrons off from atoms, destabilize molecules, and denature the virus. That was the short version.
Q. And so it's fair to say that Oceana Grill has much more UV light with its doors, windows, and openings than keeping a virus in the dark in this Riddle report, correct?
A. I would say there was more UV light. However, if it's much more, I don't know. But there was more UV light.

Now, of course, UV light is only present during the day, not present at night. It's present on cloudy days, but not present at night. So there is a difference; but how much the difference is, I don't know.
Q. And part of the reason is because you don't know how much UV light comes into Oceana Grill because you've never been there?
A. No. Part of the reason is that $I$ know
there's no UV light in the dark; and I know that it gets dark in New Orleans. I don't have to go there to know that. And I, therefore, know that the difference is how much gets in during the day.

And I'm now conceding that there's more UV light getting in during the day, but I really don't know how much more is getting in.
Q. Okay. Do you know what the current state of literature with respect to the survivability of CoV-2 in non-lab conditions is?

What's the current scientific hypothesis about the survivability of CoV-2 today in non-lab conditions?
A. Yeah. So my focus -- thank you for clarifying that.

My focus has been lab conditions, so I don't know that -- I'm trying to understand what the value would be of studies that don't look at it in unstandardized condition. I don't know what -- what does that really mean?
Q. Well, perhaps because Oceana Grill is not in a lab, there may be some utility to them to know what the survivability is of CoV-2 outside of a lab condition.
A. Fair enough. Fair enough. However, when

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you're outside of -- when you're outside of standardized conditions, lab or not, there's variability day to day; UV light changes day to day; temperature changes day to day; and so viability changes day to day.

So it's hard to know what the -- what the real measurement actually means since the conditions continue to change. Put another way, the mean may be different, but the variability confuses the issue.
Q. Let's look at 73.
A. Okay. Can I suggest we take a break for a few minutes before we go on?

MR. MILLER: Well, assuming your -MR. ALVENDIA: Yeah, let's do that.
But, again, let's do a time check here
Allen. We're now at 5:30. We've been going for five-and-a-half hours. What are we looking at here?

MR. MILLER: I mean, hopefully close to 6:00. If it's past 6:00, it won't be much past 6:00.

MR. ALVENDIA: All right. Let's take a five-minute break.
(Recess taken.)

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| :---: | :---: | :---: | :---: |
|  | BY MR. MILLER: |  | kills the virus. And I can show you exactly from |
|  | Q. Doctor, take a look at paragraph 73. |  | their website where they say it kill the virus. |
|  | A. Okay. |  | They're wrong when they say that? |
|  | Q. And it is a discussion of Oceana Grill's |  | MR. ALVENDIA: Objection. You're |
|  | remediation efforts, and the last sentence basically |  | mischaracterizing his testimony. He's not |
|  | says -- oh, let's go with the first sentence. |  | saying -- he's not saying if the cleaner |
|  | [As read]: "Oceana has attempted to |  | doesn't touch the virus itself, it kills |
|  | restore the pre-COVID-19 environment by maneuvers |  | it. What he's saying is if it can't touch |
|  | ., arising out of -- arising out the property, |  |  |
| 10 | generally -- airing out the property, generally | 0 | three times now. He's not saying they're |
| ${ }^{11}$ | cleaning the surfaces with bleach-based cleaners, | 1 | wrong. <br> MR. MILLER: We could be here until |
| 12 | and closing off rooms where individuals who reported | 12 |  |
| 13 | COVID-19 were located for 24 hours. | 13 | 7:00 o'clock, if you want, because I'm |
| 14 | "While this effort is understandable, |  | going to ask the questions. So let me ask |
| 15 | inadequate because, one, the effort was undone by | 15 | the questions. You can make your objection |
| 16 | the continued arrival of SARS-COVID-2 positive | 6 | and then let me ask the question. |
| 17 | patrons and employees; and two, the recent research | 17 | MR. ALVENDIA: My objection is you're mischaracterizing his testimony. Please |
| 18 | of Riddle" -- or "Riddle" -- I don't know -- | 18 |  |
| 19 | "reveals the virus is viable for up to 28 days and | 19 | reask it. |
| 20 | perhaps longer, invalidating the process of | 20 | Doctor, you can answer, if you |
| 21 | cordoning off a room for 24 hours." | 21 |  |
| 22 | The 28-day number that you cite there is | 22 | A. In order for the virus to be denatured, not killed, but denatured, it has to be touched by the cleaning agent. If it can be touched by the cleaning agent, then it will be denatured. |
| ${ }^{23}$ | the -- where the virus was kept in a dark area -- | 23 |  |
| 24 | darkness in a laboratory under controlled |  |  |
| 25 | temperatures and humidity, correct? | 25 |  |
|  | Page 190 |  | 2 |
| 1 | A. Yes. |  | I don't disagree with them. I'm simply |
| ${ }^{2}$ | Q. So that condition doesn't normally exist at | 2 | saying that many virus are embedded so deeply that the cleaning agents can't reach them. |
| 3 | Oceana Grill, does it? |  |  |
| 4 | A. That is true. It does not ordinarily | 4 | BY MR. MILLER: |
| 5 | exist. | 5 | Q. And let's talk about Oceana Grill, because that's really the only reason we're here, and the surfaces that are in a restaurant. |
| 6 | Q. Okay. Are you familiar with -- | 6 |  |
| 7 | A. I'm sorry. I do need to also tell you |  |  |
| 8 | that -- so I've given you two reasons that I think |  | In your conclusion, your opinion, is that the guidance given by the Centers For Disease |
| 9 | it was inadequate. |  |  |
| 10 | But the third reason that I didn't say here | 10 | Control, that restaurants can denature the virus to |
| 11 | but I want to say on the record so that you | 11 | the point where it is safe for patrons to eat in a |
| 12 | understand and you can examine me on it, is that, in | 12 | restaurant, is inaccurate because the surfaces in |
| 13 | fact, the bleach-based cleaners simply can't reach | 13 | Oceana Grill will not allow you to denature the virus? |
| 14 | the virus when it is embedded in the surface. | 14 |  |
| 15 | That's a third reason bleach can't reach the virus. | 15 | A. I am simply saying that the CDC is correct |
| 16 | Q. So again, the recommendations that are | 16 | in that the sequence of cleaning and disinfectants can denature viruses when they reach them, and they |
| 17 | given by the Centers for Disease Control are simply | 17 |  |
| 18 | not accurate? | 18 | reach many viruses. |
| 19 | A. They -- I don't want to say -- I | 19 | But they do not reach all viruses because these viruses are embedded, as I've said; and so |
| 20 | wouldn't say it that way. Again, I'm not going | 20 |  |
| ${ }^{21}$ | (indiscernible) their effort. They do the very best | 21 | these viruses are embedded, as I've said; and so those viruses continue to be a threat. That's what I'm saying. <br> Q. Let's talk about Oceana Grill, because you have authored a report that says, 'The viruses have reached the surface at Oceana Grill, and it creates |
| 22 | they can. But the size of virus mitigates against | 22 |  |
| 23 | their belief. | 23 |  |
| 24 | Q. So they are wrong when they say that if you | ${ }^{24}$ |  |
| 25 | take CDC-approved cleaners and wipe the surface, it |  |  |

a dangerous environment."
Am I incorrect about that?
A. Correct.
Q. Okay. The Center For Disease Control has said that establishments like Oceana Grill can create an environment safe for its patrons and, in their words, kill the virus -- but we're going to use denature -- if you use the cleaning agents that they suggest to wipe down the surfaces.

And because you're here as an expert and authored a report with respect to Oceana Grill, my question to you is, the surfaces that are at Oceana Grill, the viruses that you say are at Oceana Grill, your opinion is they cannot be denatured?

MR. ALVENDIA: His opinion is more
likely than not. Is that what you're asking, Allen? Once again, it's important to use --

MR. MILLER: I'm asking him what his opinion is. I don't want to ask -- I'm not interested in what the lawyers' opinions are. I just want to know what the witness's opinion is.

MR. ALVENDIA: I'm asking you what level are you asking him. Are you asking

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more likely than not? beyond a reasonable doubt? It's an improper question.
BY MR. MILLER:
Q. You can answer my question, assuming you understand it, Doctor.
A. That level of cleaning is insufficient. It's more likely than not insufficient.
Q. To denature the virus?
A. Correct.
Q. Okay. Despite the recommendations of the Centers for Disease Control?
A. Centers for Disease Control does the very best they can using the cleaning agents. But unfortunately, as I said before, the virus mitigates against that. The virus's size mitigates against that.
Q. Are you familiar with a Clinical Microbiology in Infection publication?
A. I'm not sure what -- you're talking about a book? a journal? an article?

I'm not sure what we're talking about.
Q. CMI, it's a -- articles in press.

What about a gentleman by the name of Ben-Shmuel?
A. No. The article, does that appear
Q. Tell me what fomites are.
A. Fomites are the vehicles that spread infection.
Q. All right. And how do fomites apply in this case?
A. Well, I think -- the way I think about fomites is that they are the droplets that spread the virus.

MR. MILLER: Okay. Can you pull up the Lancet report concerning exaggerated risk of transmission of COVID by fomites?
A. I don't know. If it's not --

BY MR. MILLER:
Q. Not you. I'm talking -- I'm talking to my colleague.
A. I apologize. Okay.
Q. This is an article out of the Lancet that, which again, is the publication where you've done peer-review articles.

Have you ever seen this article before?
A. I have not.
Q. Okay. In your work on this case -- I know we talked about a number of articles and a number of things that you did use, all of which were, I would say, in the spring of 2020, correct? Early on in
the COVID analysis.
A. I'm sorry. What was your question again? I was just trying to read this.
Q. Yeah. Sure. Take your time. Why don't you go ahead and --
A. Can we go to the next page?

MR. ALVENDIA: Yeah, I was going to say -- I was going to say, Allen, if you're going to question him on the articles --

MR. MILLER: Yeah, I'll let him look at it first.

MR. ALVENDIA: Let him look at the whole article, please.
A. Can we go back to the previous page, please? Okay. Thank you. BY MR. MILLER:
Q. Do you have any reason to disagree with this article?
A. I have a reason to question its results.
Q. Why?
A. First of all, I don't know if its results are correct or not. By that I mean I don't know if they reflect the true state of nature or not because there's not very much information about methodology here. This is really a brief. This is not a

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full-length manuscript. This is really a very brief report.

If this is pre-staging a full-length manuscript, then I'd be happy to read that. But I don't get much of anything from this.
Q. Okay. Go to the second page. Let me -okay.

So in this, this gentleman opines -- and this is Mr. Goldman -- that in his opinion the chance of transmission through inanimate surfaces is very small, and only in instances where an infected person coughs or sneezes on the surfaces, and someone else touches that surface soon after the cough or sneeze.

And then I will go a step further and say, then that individual touches their nose with a sufficient concentration of the virus on their hand that can then penetrate your personal membranes into your cells and then you catch -- then you would be infected.

So although he doesn't go that far, I'll take it two steps further, and you would probably agree with me on those last two steps.
A. The pathophysiology is sound.
Q. Okay. So as a result of the sequence of
events we just talked about, individual comes in, droplets go onto the table, immediately after droplets are on the table -- we're talking about just inanimate surfaces right now, not in the air or person to person.

The virus is on the inanimate surface, person then has to touch the inanimate surface, likely with a hand, then that hand has -- prior being -- the virus being compromised, go, then, to that person's either face or eyes. The concentration on your hand has to be sufficient enough to get through your individual membranes and into your cell to then infect the cells and you then become infected.

Because of that, this gentleman says that -- let's see. "Although periodic" -- 'I believe that fomites that have not been in contact with an infected carrier for many hours do not pose a measurable risk of transmission." And in this case, he did it in non-hospital settings.

Do you agree or disagree with that?
A. I would say, based on what I have read here and what I know, I would disagree. And what is missing here is the methodology he used to come to his conclusion.

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Q. And let me ask you one question, then, as a follow up.

In all of your studies, in all of your readings, and in preparation of your report, can you identify one instance anywhere in the world where an individual has been confirmed to have contracted COVID-19 from an inanimate object?

MR. ALVENDIA: Once again, using the standard of more likely than not?

MR. MILLER: No. I'm not asking about an opinion. I'm asking about a fact. I'm asking for him to identify to me one fact, that being a confirmed case of an individual somewhere on this globe that contracted COVID-19 from a inanimate object.
A. My answer to you is that I have not done anywhere near a sufficient survey to be able to answer that question with a surety.

To answer you directly, no. But I haven't done a study of it. The fact that I can't think of an example doesn't mean an example doesn't exist. It just means that I haven't contacted the right or wrong people, depending on your point of view. BY MR. MILLER:
Q. Okay.
A. I don't have an informative answer.
Q. So the answer is, no, you do not have one fact, meaning one person worldwide that has ever confirmed contracting COVID-19 from an inanimate object?
A. My answer is, no, I do not have an informative answer.
Q. Okay. Despite not having that fact, not having researched whether or not a person has contracted COVID-19 from an inanimate object, not person to person, but on the surface; despite not having surveyed, not having looked for articles, you have opined that the restaurant, because of, in your assertion, $\mathrm{CoV}-2$ is present on the surface, is a dangerous -- creates a dangerous condition?

MR. ALVENDIA: Objection to form.
MR. MILLER: That was really poorly
phrased.
MR. ALVENDIA: So objection to form.
MR. MILLER: But I'll say it again.
BY MR. MILLER:
Q. Doctor, did you understand my question?
A. The first 40 percent.
Q. Fair enough. All right.
of COVID-19 by Fomites" as Exhibit 3, I think.
(Exhibit No. 3 was identified.)
THE WITNESS: If you're waiting for me, I've read this.
BY MR. MILLER:
Q. Okay. You've read this.

And do you disagree with this article?
A. I will say that there is a -- yes. And I
would say there's a substantial body of literature
that -- that is much more detailed than this that contradicts the finding.

## Q. Okay.

A. I'm sorry. And maybe -- this is in Italy. And actually, they refer to studies 4 and 5, which are Colaneri -- actually, they're both by Colaneri, and I haven't read those. So I would need to read those to fully opine on this.

But I would say that I disagree with this, based on its face, what they presented.
Q. All right. Their findings -- one of their finding -- and I'll just read a sentence.

It says, "Our findings suggest that environmental contamination leading to SARS-CoV-2 transmission is unlikely to occur in real life

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You have just told me that you have not taken any steps to determine whether or not an individual has ever contracted COVID-19 from an inanimate object. Is that fair?
A. That's fair.
Q. And so you do not have an informed answer about whether or not there is one person worldwide that has, in fact, contracted COVID-19 from an inanimate object.

MR. ALVENDIA: Objection to form.
You can answer.
A. That's fair.

## BY MR. MILLER:

Q. Okay. Established -- now that we've established that, that you have not done that research, you still in this case opine that the inanimate objects in Oceana Grill create a dangerous environment, correct?
A. I do, yes.
Q. Okay. That's it. I think we labored through that series of questions.

MR. MILLER: Can you pull up the
Mondelli Lancet article, September 29th?
For the court reporter, I'm going to
make the "Exaggerated Risk of Transmission

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conditions, provided that standard cleaning procedures and precautions are enforced." Do you see that?
A. I do. Now, I would use -- I'm sorry. Go ahead.
Q. That's also the same guidance that's given by the Center for Disease Control, isn't it? That standard cleaning procedures or precautions would create an environment that prevents contamination from SARS-CoV-2 transmission?
A. This is Italy. I don't know that Italy follows CDC, I just don't.
Q. I'm not asking if they follow CDC. I'm asking whether or not this finding is consistent with the guidance that the CDC gives us.
A. Standard cleaning procedures, I can't tell from this.
Q. Okay. Does the CDC not recommend to us that in order to denature the virus -- they use the word 'kill" -- standard cleaning is what a restaurant like Oceana would need to do?
A. Actually, it's standard cleaning and disinfectants, right?
Q. Standard cleaning and disinfectants, yes, sir.

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A. I think there's a difference here. I think there may be a difference in what they do and what Oceana did.

MR. MILLER: Okay. I'll mark this as Exhibit 4.
(Exhibit No. 4 was identified.)

## BY MR. MILLER:

Q. All right. I'm going to try to be quick with these questions, Doctor, if you can.

Ionic bonding, could you describe that to me?
A. Ionic bonding is a process by which two atoms interact with one atom, taking the electron of another.
Q. Okay. And covalent bonding?
A. Covalent bonding is a process by which two atoms share electrons.
Q. Can you have molecular adhesion covalent bonding with a particle ion character?
A. I will say, yes, you can. Nature is not black and white, even though we make -- we make definitions of black and white.

Covalent bonds are not always exclusively covalent. The electron does not always share the ionic bonding. The theft of the electron is not

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always complete, so there is overlap.
Q. Can you give me an example, something that I could recognize?
A. I don't know. Let me think. Hydrogen
atoms are -- maybe something like carbon tetrachloride.
Q. And how would that manifest itself in the real world, carbon tetrachloride? What --
A. Oh, what is it used for?
Q. Yeah.
A. The chemistry reagent I want to say is also a cleaning solution. I'm not really sure about that. It's been a long time.
Q. Could you spell it?
A. Oh, of course. Carbon tetra, t-e-t-r-a, chloride, c-h-l-o-r-i-d-e.
Q. Okay. And that is an example of molecular adhesion covalent bonding with a partial ionic character?
A. I believe so, yes.
Q. Okay. Now, you -- you mentioned this in your report, and I'm not trying to get into all the science of it, but I just want to ask you to make sure I have clarity. Van der $\mathbf{W}$-a-a-l-s forces and London forces are different?

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Q. -- 'separating the virus from the original" -- 'separating the virus from the original surface."

So the hybrid that cannot be disassembled, how then -- explain to me how then if it -- if the virus hybrid can't be disassembled, how then can an individual contract it?
A. Sure. So really I should say cannot be disassembled easily. I should really say that. I mean, I don't want to say it could never be disassembled. It cannot be disassembled easily.
Q. Okay. So...
A. So, but the answer -- go ahead. Go ahead, please.
Q. No, you talk. I -- go ahead.
A. So this is the insinuation sentence. So let me -- so if the virus inserts itself into this wood and wax and it bonds, now these bonds are typically weak bonds. They are weak covalent bonds. There maybe be some Van der Waals and London forces, but these are essentially weak bonds. Okay?

Can they be dislodged? Yes, of course they can be dislodged. They can be dislodged by wind, they can be dislodged by -- remember the surface is not smooth. So if the surface is not smooth, if


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damage be repaired or will there be property loss?
Will the -- the item in question be unusable?
Q. And when you say "unusable," do you mean -let's just use a table for instance.
A. Right.
Q. Unusable meaning the table cannot be used in the manner in which the manufacturer intended or it cannot be used because it should not be used?

MR. ALVENDIA: Let me -- let me just
lodge an objection here. You're asking him
for legal conclusion, definition of
physical loss. I think he's already given
that answer from his perspective, but
objection to form of the question.
BY MR. MILLER:
Q. I only want you to tell me how you, in drafting your report, are using the phrase "property loss'?
A. And I'm using the phrase "property loss" as meaning the damaged entity really cannot be used safely anymore.
Q. Okay. Give me about two minutes to look at my notes and then I'll wrap up.
(Recess taken.)
BY MR. MILLER:

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Q. All right. Doctor, just a few more questions. Are you a member of the American College of Epidemiology?
A. No. I am not, no.
Q. Are you a member of the Public Health

Association -- American Public Health Association?
A. I think I was at one point, but no.
Q. All right. In formulating your conclusions, did you take any consideration into the mandate in the city of New Orleans that required -requires individuals in a restaurant like Oceana to wear masks?
A. No, I did not.
Q. But you are aware that since the initial -since the opening -- the reopening after the shutdown in the city of New Orleans, that there was a mandate by the mayor and her staff that individuals inside of a building were required to wear masks?
A. I under --

MR. ALVENDIA: Objection -- wait.
Objection to form. That's
mischaracterizing it. If they're -- if
they're sitting at the table eating and
drinking, they don't have to wear a mask,

Allen. We know this.
Given the full -- given the full restriction, given the full mandate.

MR. MILLER: Yes.
MR. ALVENDIA: It's not constantly
wearing a mask inside a building.
MR. MILLER: All right. That's fair.
MR. ALVENDIA: That's it. That was my objection.

MR. MILLER: Yeah.
BY MR. MILLER:
Q. So let me just ask you, Doctor. So I think you answered the question that the analysis that you came up with did not take into consideration whether or not individuals were wearing masks inside of Oceana restaurant?
A. Correct. Correct, because when you're in an environment where there's eating and drinking, if you're eating and drinking, you don't wear masks.
Q. Okay. Or did -- did your analysis take into consideration whether the staff members inside the restaurant were wearing masks?
A. It did not, no.
Q. Did your analysis take into consideration whether or not Oceana Grill used temperature checks

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for individuals before they allowed them into the restaurant?

MR. ALVENDIA: Objection to form.
MR. MILLER: What's wrong with that question?

MR. ALVENDIA: Assumes facts not in -not in the record. Are you telling him that's what they do to every person --

MR. MILLER: No. I'm asking him if he took that into consideration.

MR. ALVENDIA: I know, but you're asking --

MR. MILLER: That was a fact -MR. ALVENDIA: Are you saying that's what happens at Oceana? Because that's --

MR. MILLER: I don't know what Oceana does. That's not the question.

MR. ALVENDIA: Okay. Well, so you're --

MR. MILLER: My question is whether or not that fact --

MR. ALVENDIA: Right.
MR. MILLER: -- whether or not --
MR. ALVENDIA: Then it's a -- it's
objection to form, because you -- he's an
expert. You have to say for the purposes of this deposition, if you assumed this, would it -- would you have taken that into consideration. You're -- anyway. Look, it's getting late. Objection to form.

MR. MILLER: All right.
BY MR. MILLER:
Q. Dr. Moye, did your analysis consider whether or not temperature checks were made at the restaurant?
A. It did not. It didn't -- it really didn't need that.

MR. MILLER: Okay. All right. I think that is all I have.

MR. ALVENDIA: All right. Thank you, Dr. Moye.
(This proceeding was concluded at $6: 25$ p.m. on November 10, 2020.)

REPORTER'S CERTIFICATE
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